



EMORY
UNIVERSITY

Research
Administration

A background image showing a magnifying glass held over a bar chart. The chart has blue and green bars and is labeled with 'Q1', 'Q2', 'Q3', and 'Q4'. The magnifying glass is positioned over the 'Q2' and 'Q3' bars, making them appear larger and more detailed. The overall color scheme is blue and white.

Office of Research Administration Mission Metrics - Faculty Facing Semi-Annual

August
2022

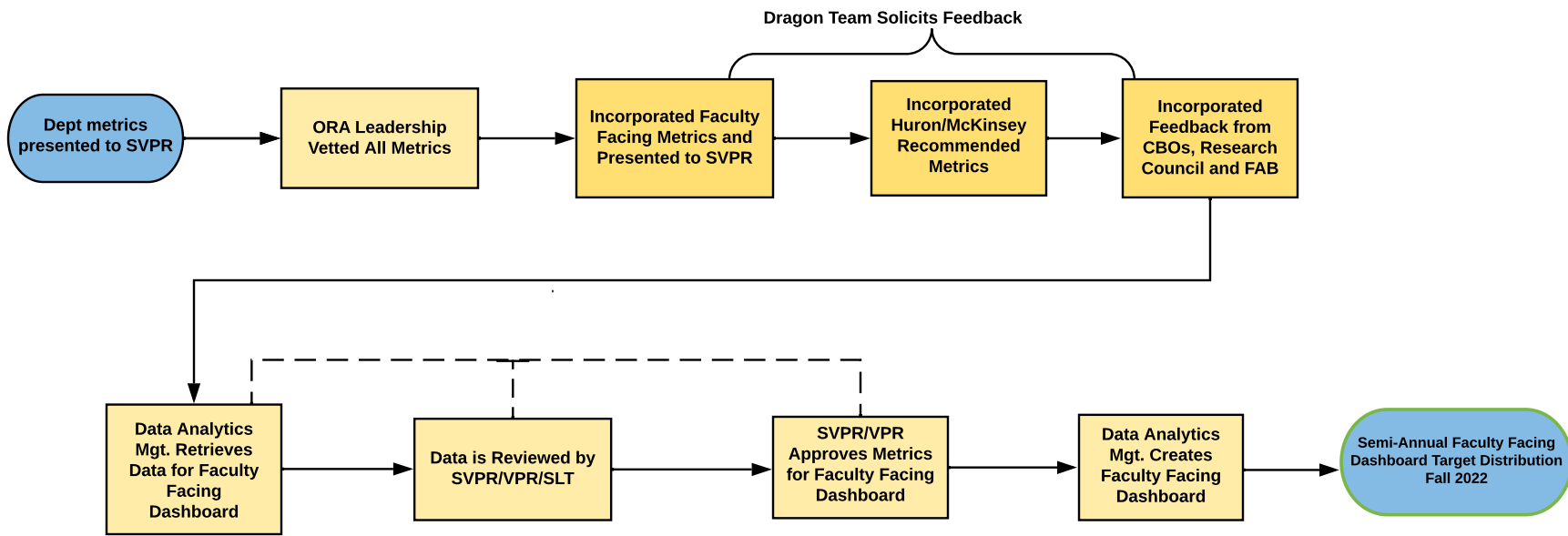
Summary

Mission metrics is tasked with establishing a reporting process for faculty facing metrics. The goal is to supply meaningful metrics to faculty to measure performance of ORA's department and units. This data-driven dashboard will seek to improve ORA's transparency and identify areas for improvement.

We have expanded the metrics to include Proposal Submission Turnaround Time and Volume FYTD 2022 for all nine RAS units.

We added the distinct staffing based on proposal submission.





Phase I was released in Oct. 2020
 Phase II was released in Feb. 2021
 Phase III was released in April 2021
 Phase IV was released in Nov. 2021

Faculty Facing Metrics Glossary and Definitions

ORA DEPARTMENTS

- **COI** – Conflict of Interest. Unit within ORA that provides oversight, systemic safeguards, and regulatory expertise in managing individual and institutional financial interests that could impact research and scholarly activities.
- **IACUC**- Institutional Animal Care and Use Committee. Key oversight role, including the review and approval of animal use activities, and inspection of animal facilities.
- **IRB** – Institutional Review Board. Unit within ORA whose role is to assure that appropriate steps are taken to protect the rights and welfare of humans participating as subjects in a research study.
- **ORA** – Office of Research Administration.
- **OSP** – Office of Sponsored Programs. Unit within ORA that provides guidance in developing, processing, and submitting formal proposals and grant applications for sponsored research.
- **OTT** – Office of Technology Transfer. Unit within ORA that supports research activities and manages industry relations and intellectual property commercialization for the University.
- **RAS** – Research Administration Services. Unit that delivers research administrative support to Principal Investigators, departments and the University.
- **RAS Units** – Research administrative service units at the School/Business level.
 - RAS_PH – Public Health and Nursing RAS
 - RAS_MED – School Medicine RAS
 - RAS_ABOSS – Anesthesiology, Brain Health, Orthopedics, Surgical Services RAS
 - RAS_BASICSCI – Basic Science RAS
 - RAS_PED – Department of Pediatric RAS
 - RAS_CI – Cancer and Imaging RAS
 - RAS_ECAS – Colleges and Professional Schools RAS
 - RAS_HOSP – Hospital and Specialty Services RAS
 - RAS_EPC – Emory Primates Center RAS
- **RGC** – Research Grants and Contracts. Unit within ORA that provides central oversight for the post-award fiscal activities of all sponsored projects.

SOURCES

- **Compass** – PeopleSoft financial system that is also used for grant management (proposal, expenditures etc.).
- **EBI** – Emory Business Intelligence. A trusted, collaborative Oracle based resource and data warehouse system used to support the creation of solutions using enterprise data analytics.
- **eIRB** – Software system used to support the University's IRB (Institutional Review Board).
- **eIACUC**- Software system used to support the University's IACUC (Institutional Animal Care and Use Committee).

Faculty Facing Metrics Glossary and Definitions

OTHER TERMS

- **CNT** – Award Continuation
- **FYTD** – Fiscal Year to Date
- **MOD** – Award Modification
- **MODCR** – Modification/Continuing Review. Submission type for IRB review. May be solely a Modification (Mod), solely a Continuing Review (CR), or a combination of both.
- **MTA** – Material Transfer Agreement. A contract that governs the transfer of tangible research materials between two organizations, when the recipient intends to use it for his or her own research purposes (managed by OTT – Office of Technology and Transfer).
- **NEW** – New Award
- **NICG** - Non-Industry Contract Group
- **PAN** – Pre-Award Number
- **PAR** - Post Award Request
- **PI** – Principal Investigator
- **Prime Sponsor** – Originating sponsor of funding
 - Sponsor Types:
 - **STAT** – State Sponsor
 - **FCOR** – Foreign Corporate Sponsor
 - **UNIV** – University Sponsor
 - **PRIV** – Private Sponsor (Includes Foundation)
 - **CORP** – Corporate Sponsor
 - **FED** – Federal Sponsor
 - **FPRI** – Foreign Private Sponsor
 - **FGOV** – Foreign Government
- **Subcontract** - Joint research effort with other organizations or institutions for grants and contracts, whereby a portion of the project is conducted by investigators or collaborators at those sites.
- **SOM** – School of Medicine
- **SON** – School of Nursing
- **EPC** – Emory Primate Center



Semi-Annual FY22 (Sep-Aug):

University and Grants Proposal Submission Turnaround Time and Volume

Non-Industry Contract Group (NICG) Proposal Submission Turnaround Time and Volume

Proposals Submitted by RAS

OSP Grants Proposal Submission Turnaround Time, Volume and Staffing

OSP (NICG) Proposal Submission Turnaround Time, Volume and Staffing

OSP (ICG) Proposal Submission Turnaround Time, Volume and Staffing



FY 2021
All Proposal \$'s

\$1.49B

* Researcher
1694

FY 2022
All Proposal \$'s

\$1.50B

* Researcher
1665



% Increase
2021 to 2022
*.07%

* Includes ALL Proposals Submitted

FY Submissions
2022 All Proposals #'s

4454

FY 2022: **GRANTS** Average Processing Time

*Days in Dept

2

*Days in School

1

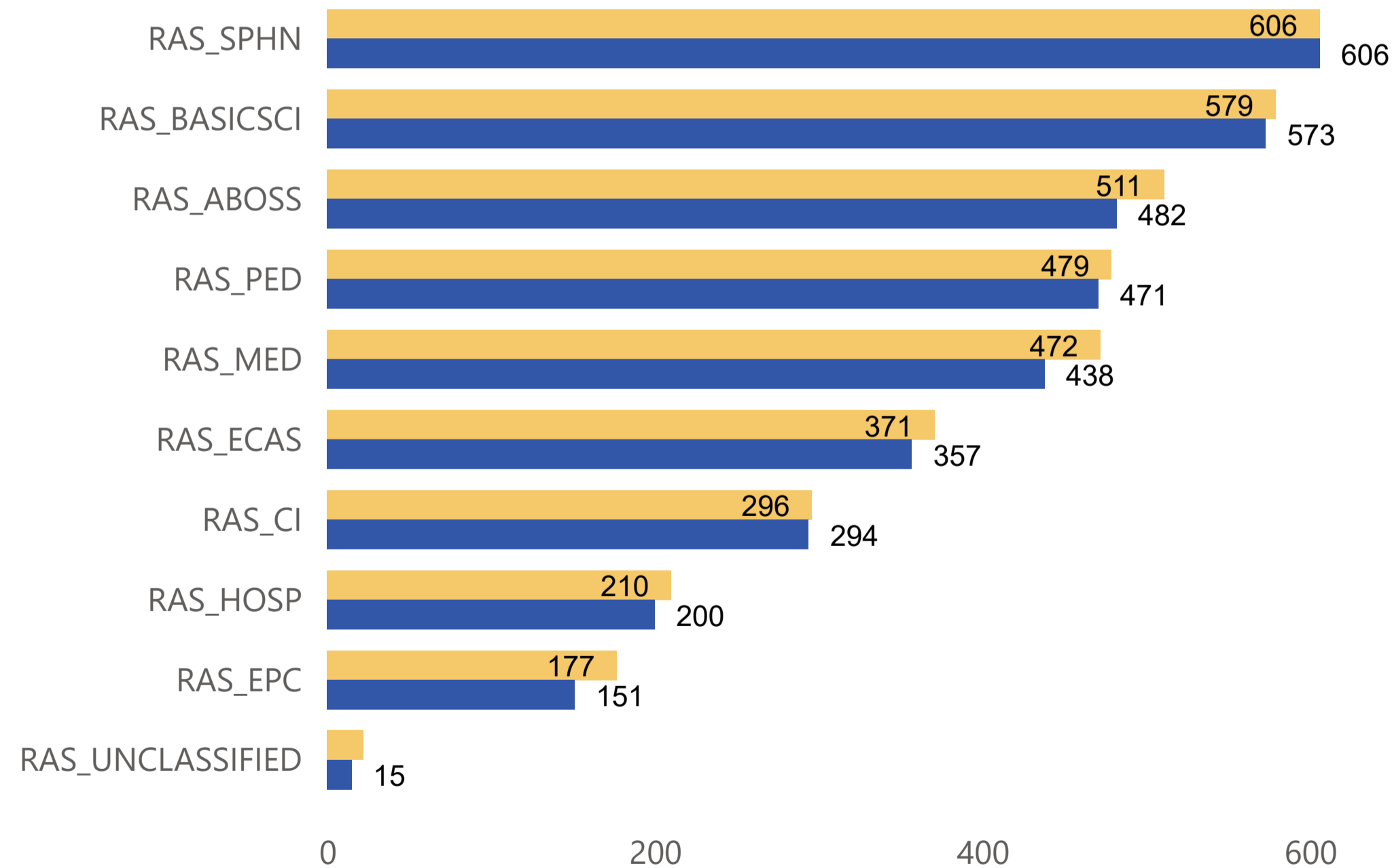
Days in OSP Grants

2

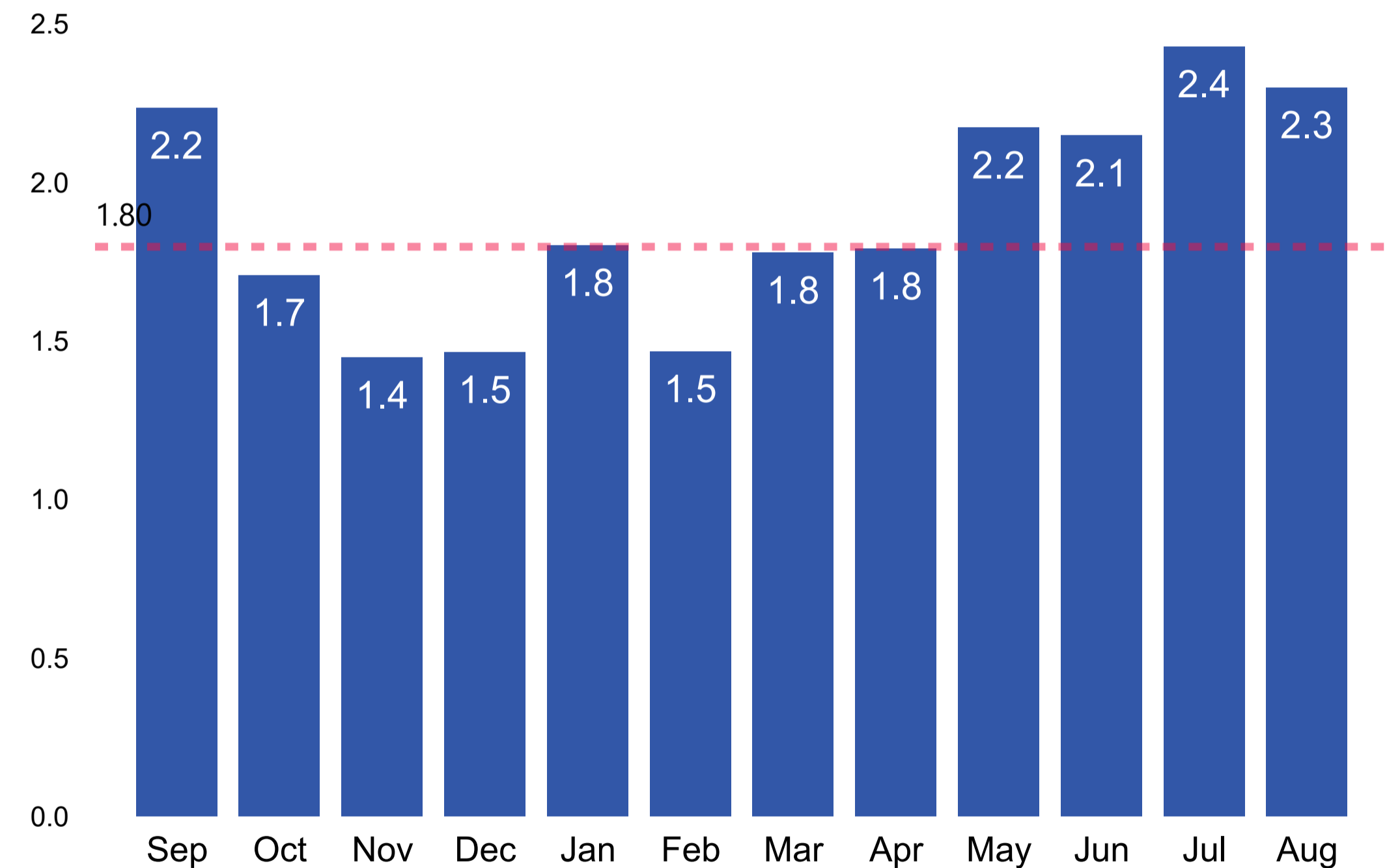
* Days routing in Dept and/or School processing time based on school approval process.

* Increase was largely driven by an increase in_RAS_PED \$38M and RAS_CI \$17M submission.
New submissions: RAS_PEDS (22M) and EPC (16.9M) new proposal submissions.

OSP Grants Proposals Volume by RAS FY 2021 and FY 2022



FY2022: OSP Grants Proposal Average Days



For OSP **GRANTS** Processing, July had the highest average number of days at 2.4 which was 64.5% higher than November which had the lowest at 1.45. The average number of days (per month) for FY 2022 ranged from 1.7 to 2.43. **Median processing time 1.80.**

Note: These are EPEX workflow processing time. Processing time may include time waiting for final proposal document review.

Source: EBI

Total proposal volume was higher for FY 2021 (4,750) than FY 2022 (4,454). RAS SPHN in FY 2022 made up 8.67% of Proposal volume. Average proposal volume was higher for FY 2021 (357) than FY 2022 (339). RAS ABOSS had the most variation in proposal volume for FY 2021 and FY 2022. The volume increased by 164 in FY 2022.

*FY 2021 Proposal \$'s

*FY 2022 Proposal \$'s

% Increase 2021 to 2022
*11.4%

FY Submissions 2021 and 2022 Proposals #'s

FY 2022: OSP Non-Industry Average Processing Time

\$91.9M

\$102.4M

487

*Days in Dept
3

*Days in School
1

Days in OSP Non-Industry
14

* Researcher
289

* Researcher
239

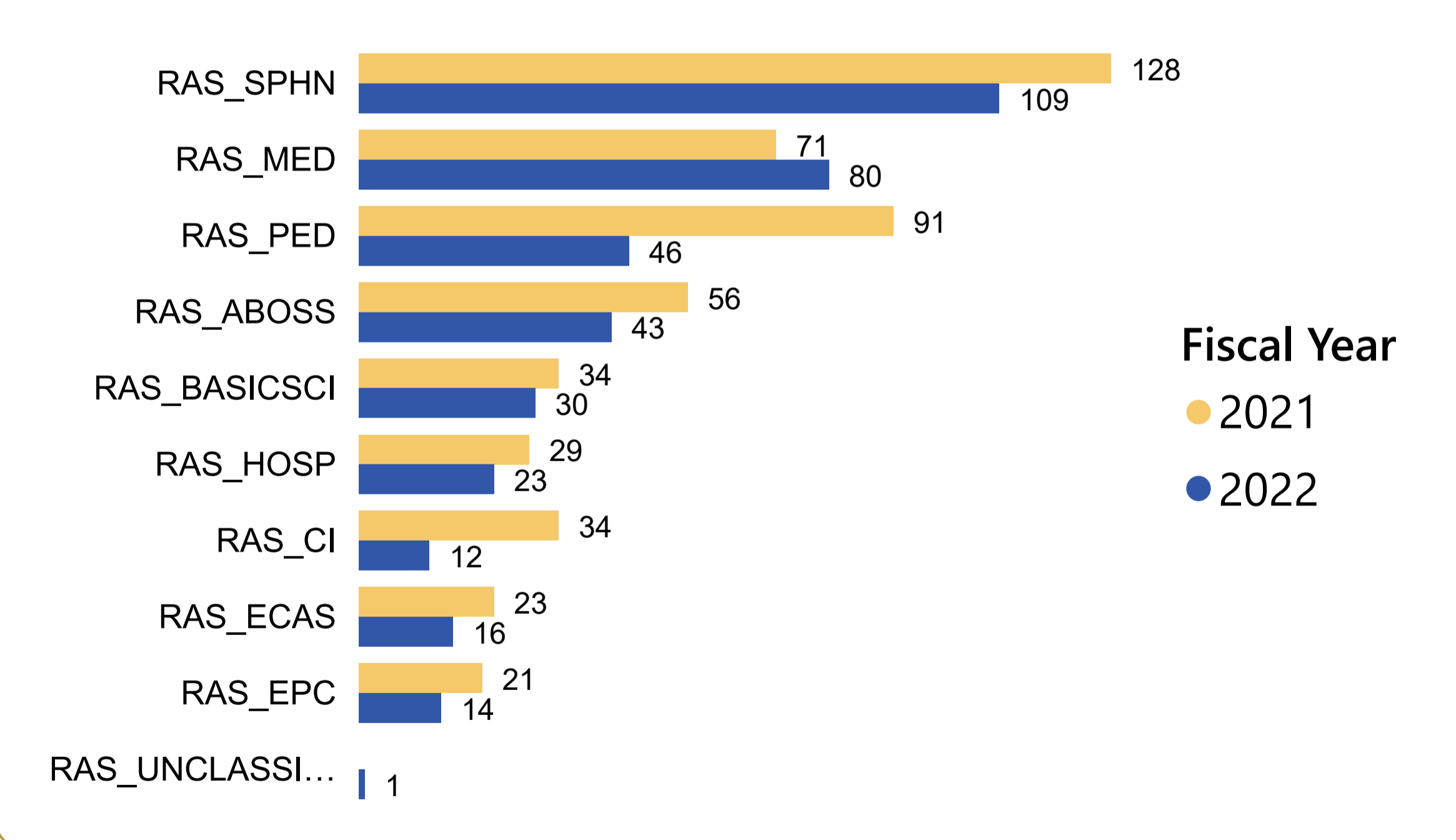
* Includes only NON-Industry

374

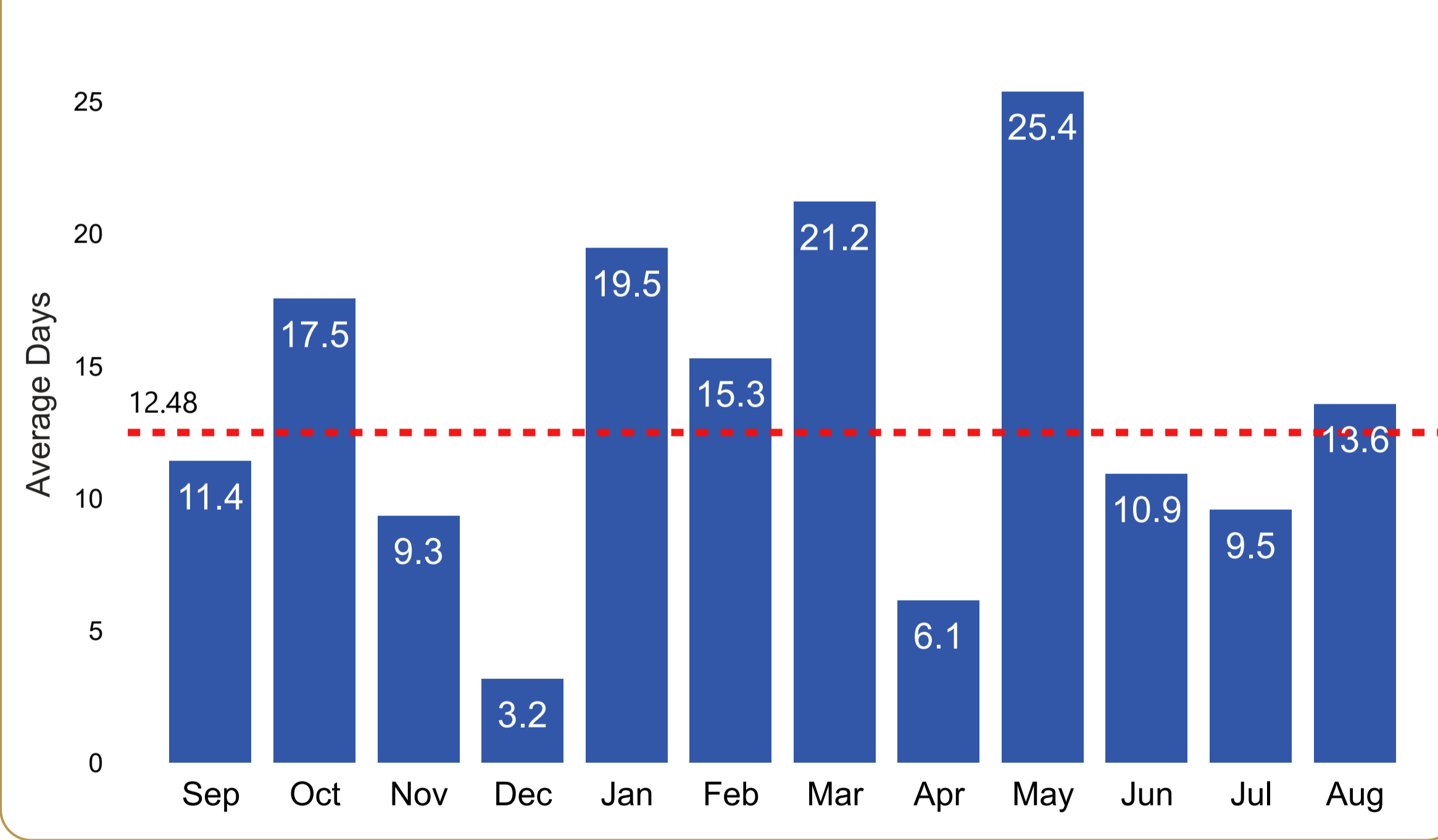
* Days routing in Dept and/or School processing time based on school approval process.

* Decrease was largely driven by an decrease in EPC and RAS_SPHN proposal budget dollars.

OSP Non-Industry Proposals Volume by RAS FY 2021 and FY 2022



FY 2022: OSP Non-Industry Proposal Average Days



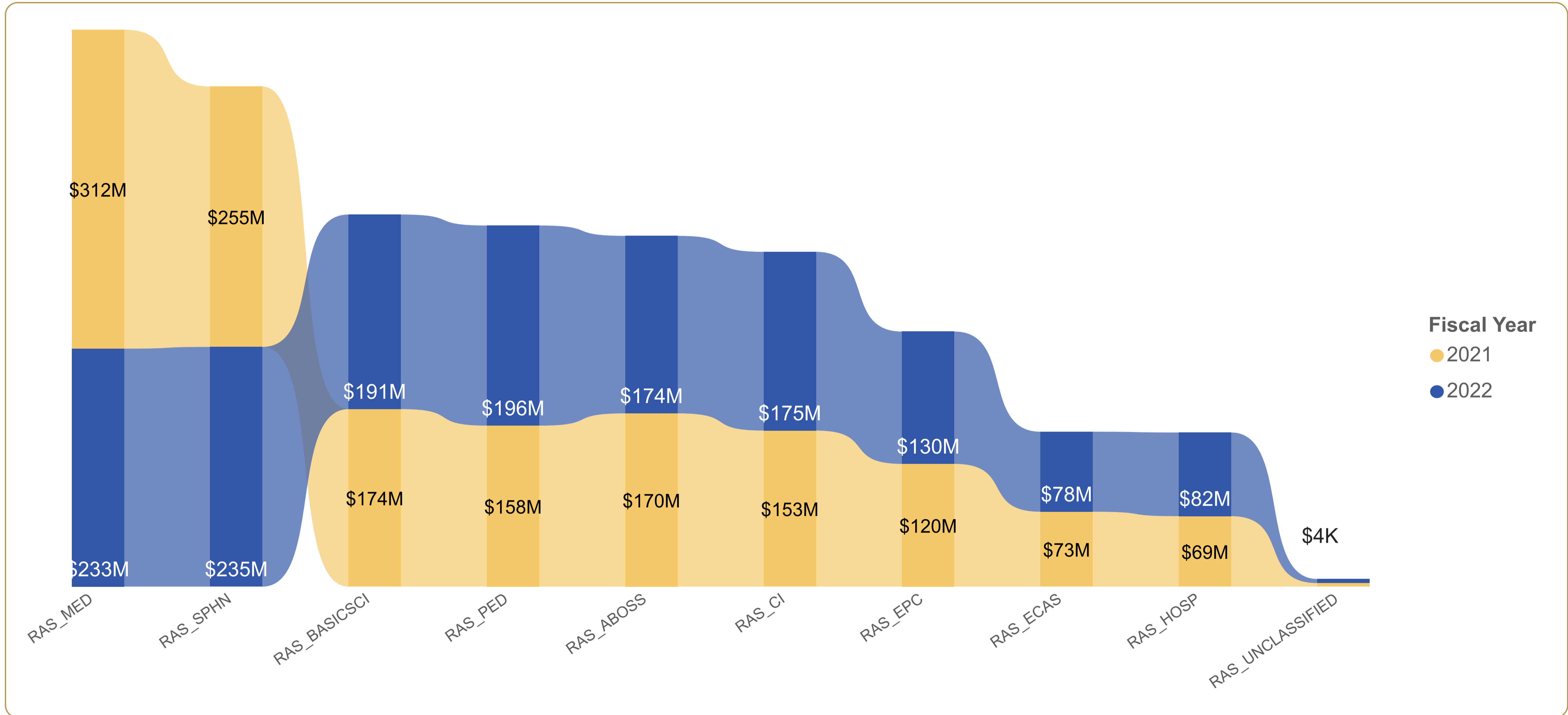
Total proposal volume was higher for FY 2021 (487) than FY 2022 (374). RAS SPHN in FY 2021 made up 26.3% of Proposal volume. Average proposal volume was higher for FY 2021 (54.1) than FY 2022 (37.4). RAS PED had the most variation in proposal volume for FY 2021 and FY 2022. The volume decreased by 45 in FY 2022.

For Non-Industry processing, May had the highest average of number of days at 25.4 and December had the lowest at 3.2. The average number of processing days for FY2022 ranged from 3.2 to 25.4. **Median average processing time 12.48.**

Note: These are EPEX workflow processing times, which incorporate varying processes due to the nature of each record. Contract negotiation times are separately tracked.

Source: EBI

Proposals Submitted by RAS Annual FY22 (Sep-Aug)



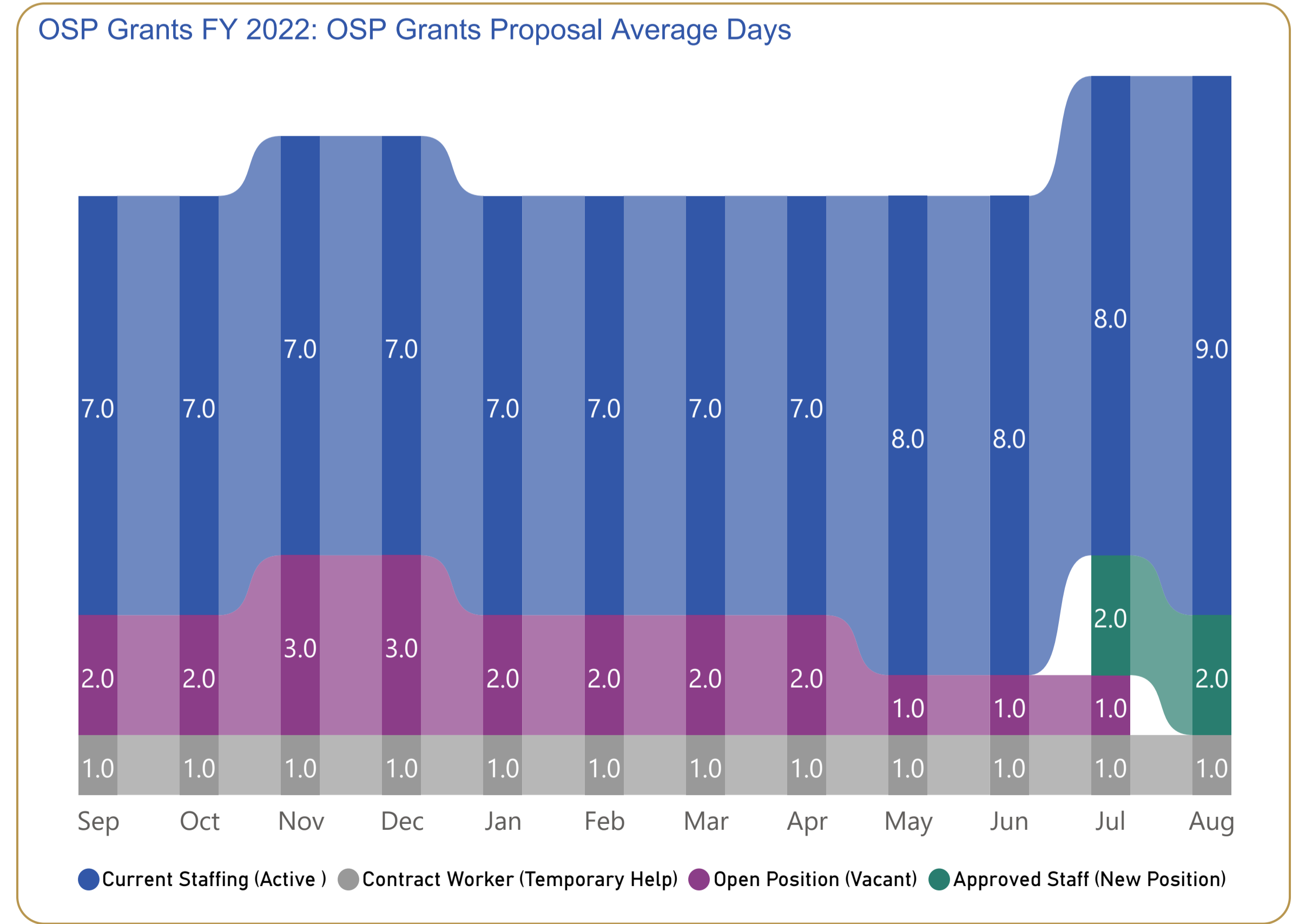
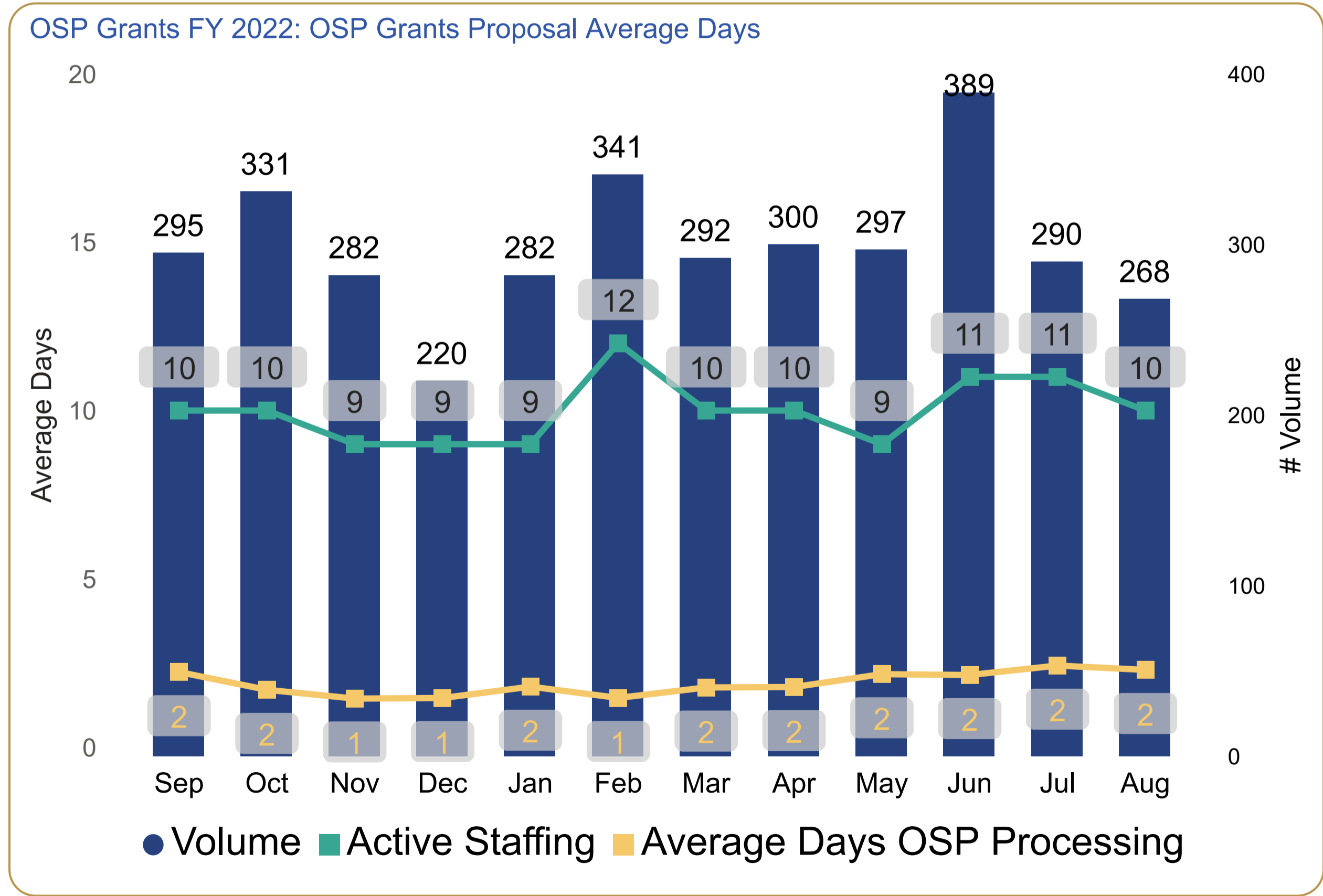
Total proposal dollar was higher for FY 2022 (\$1.49B) than FY 2021(\$1.48B). RAS PED in FY 2022 made up 10.49% of proposal dollars. On average proposal budget was higher for FY 2022 (\$170M) than FY 2021 (\$165M). RAS MED had the most variation in proposal dollars for FY 2021 and FY 2022. The budget decreased by \$79.4K in F Y 2022.

Source: EBI

OSP Grants Proposal Submission Turnaround Time, Volume and Staffing FY22 (Sep-Aug)

*FY 2021 Proposal \$'s	*FY 2022 Proposal \$'s	↓ % Decrease 2021 to 2022 -1.0%	FY Submissions 2021 and 2022 Proposals #'s	FY2022: GRANTS Average Processing Time		
\$1.25B	\$1.24B		3723	*Days in Dept	*Days in School	Days in OSP Grants
* Researcher 1523	* Researcher 1503	• Includes Grants only	3587	2	1	2

* Days routing in Dept and/or School processing time based on school approval process.



Average days processing and volume represents **GRANTS** only. FY22 proposals processed 3,587 average processing time 2 days. These are **EPEX workflow** processing time. Active Staffing is the number of analysts completing the work. It is not reflective of the total FTE's within a department. There was a 136 decrease in **GRANTS** researcher submissions FY 2021 to FY 2022. The Grants area current staffing Active (9), On Contract (1) and Open (2) positions as of FY 2022.

Source: EBI and Department

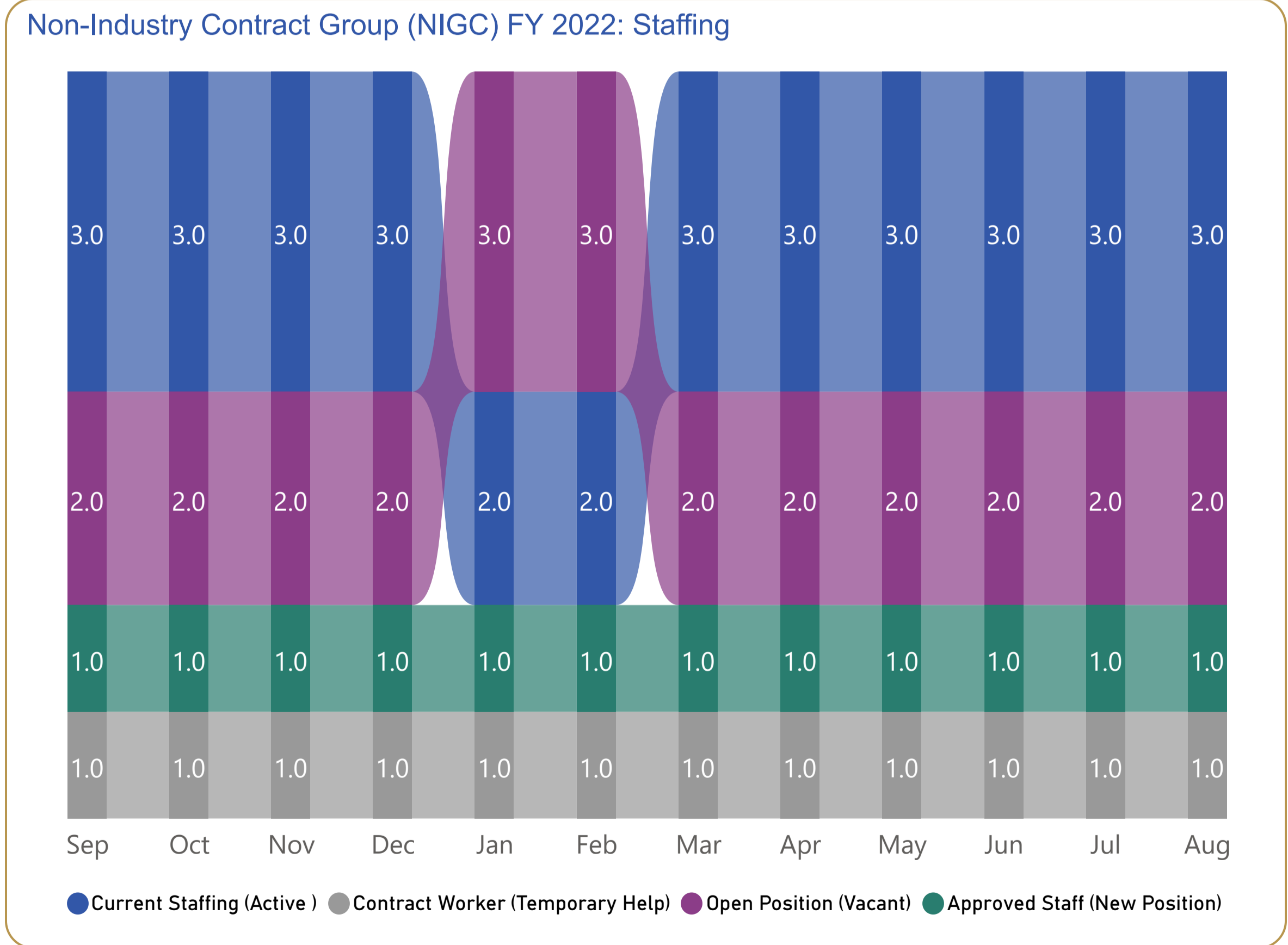
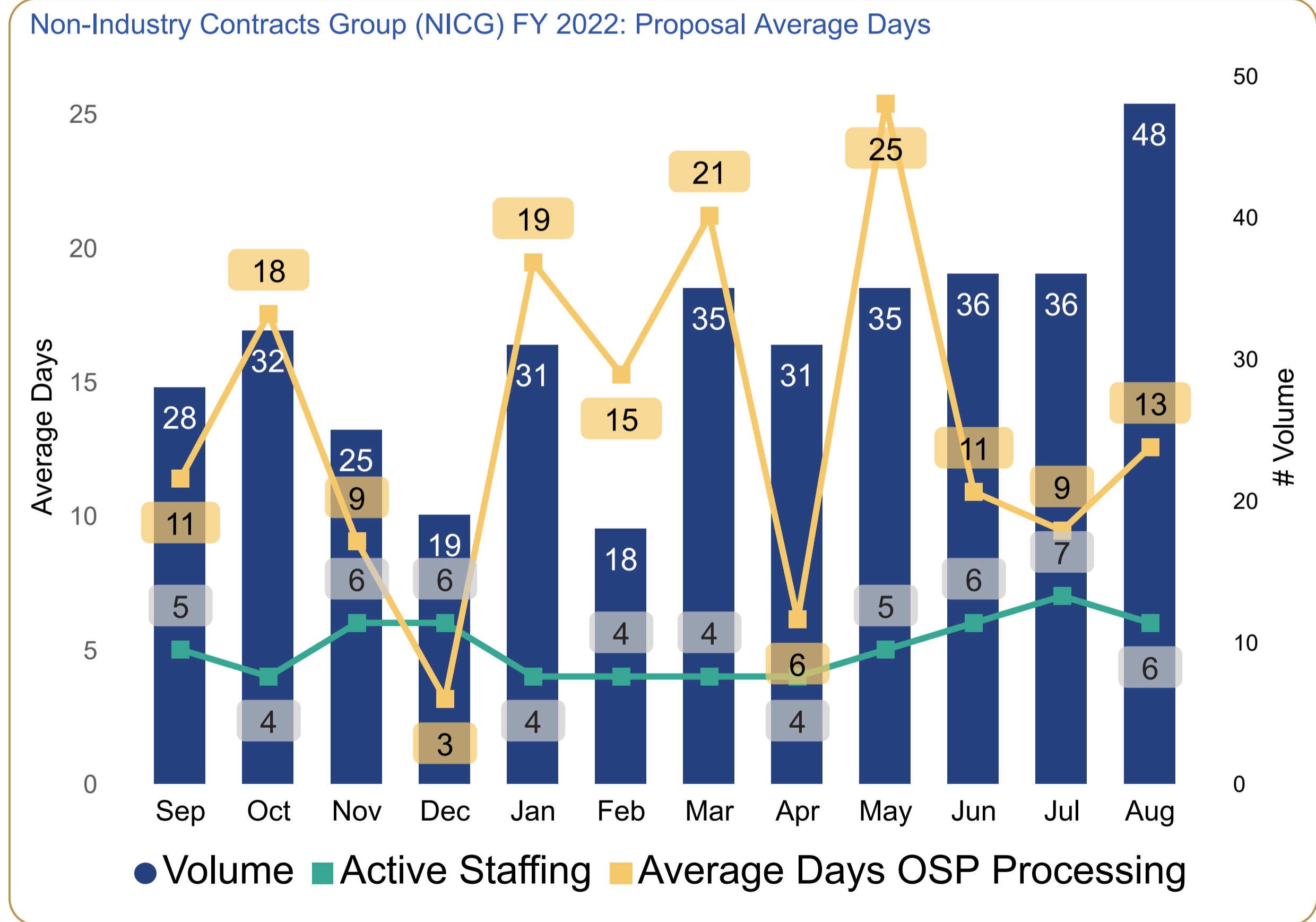
OSP Non-Industry Contract Group (NICG) Proposal Submission Turnaround Time Volume and Staffing FY22 (Sep-Aug)

*FY 2021 Proposal \$'s	*FY 2022 Proposal \$'s	↑ % Increase 2021 to 2022 11.8%	FY Submissions 2021 and 2022 Proposals #'s
\$91.9M	\$102.4M		487
* Researcher 289	* Researcher 239	* Includes only Non-Industry	374

FY2022: **NON-INDUSTRY** Average Processing Time

*Days in Dept	*Days in School	Days in OSP: Non-Industry
3	1	14

* Days routing in Dept and/or School processing time based on school approval process.



Average days processing and volume represents **NON-INDUSTRY GROUP** only. FY22 proposals processed 374 average processing time 14 days. These are **EPEX workflow** processing time. Active Staffing is the number of analysts completing the work. It is not reflective of the total FTE's within a department. There was a 113 decrease in **NON-INDUSTRY GROUP** researcher submissions FY 2021 to FY 2022. The NICG area current staffing Active (3), On Contract (1) and Open (3) positions as of FY 2022.

OSP Industry Contract Group (ICG) Proposal Submission Turnaround Time Volume and Staffing FY22 (Sep-Aug)

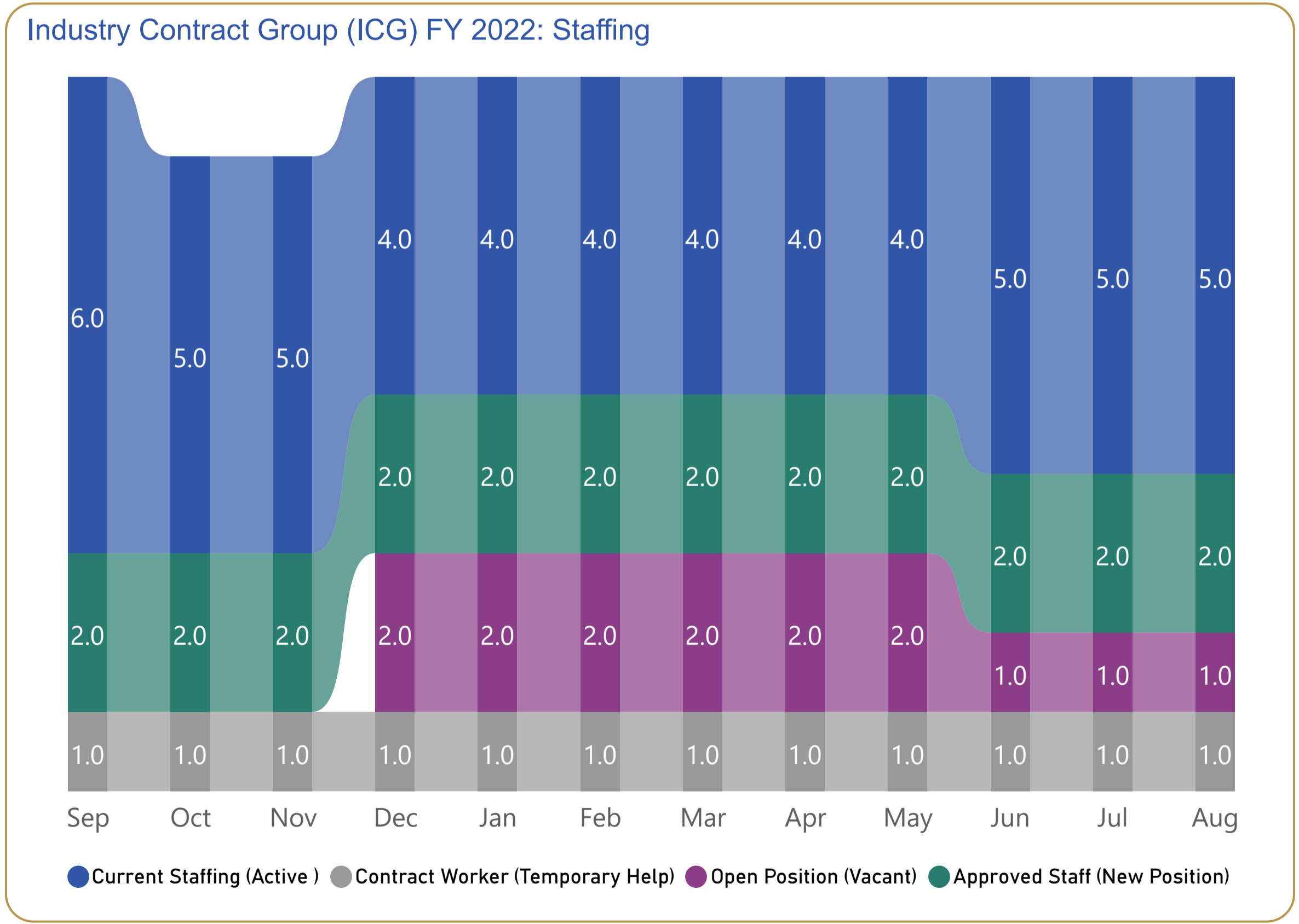
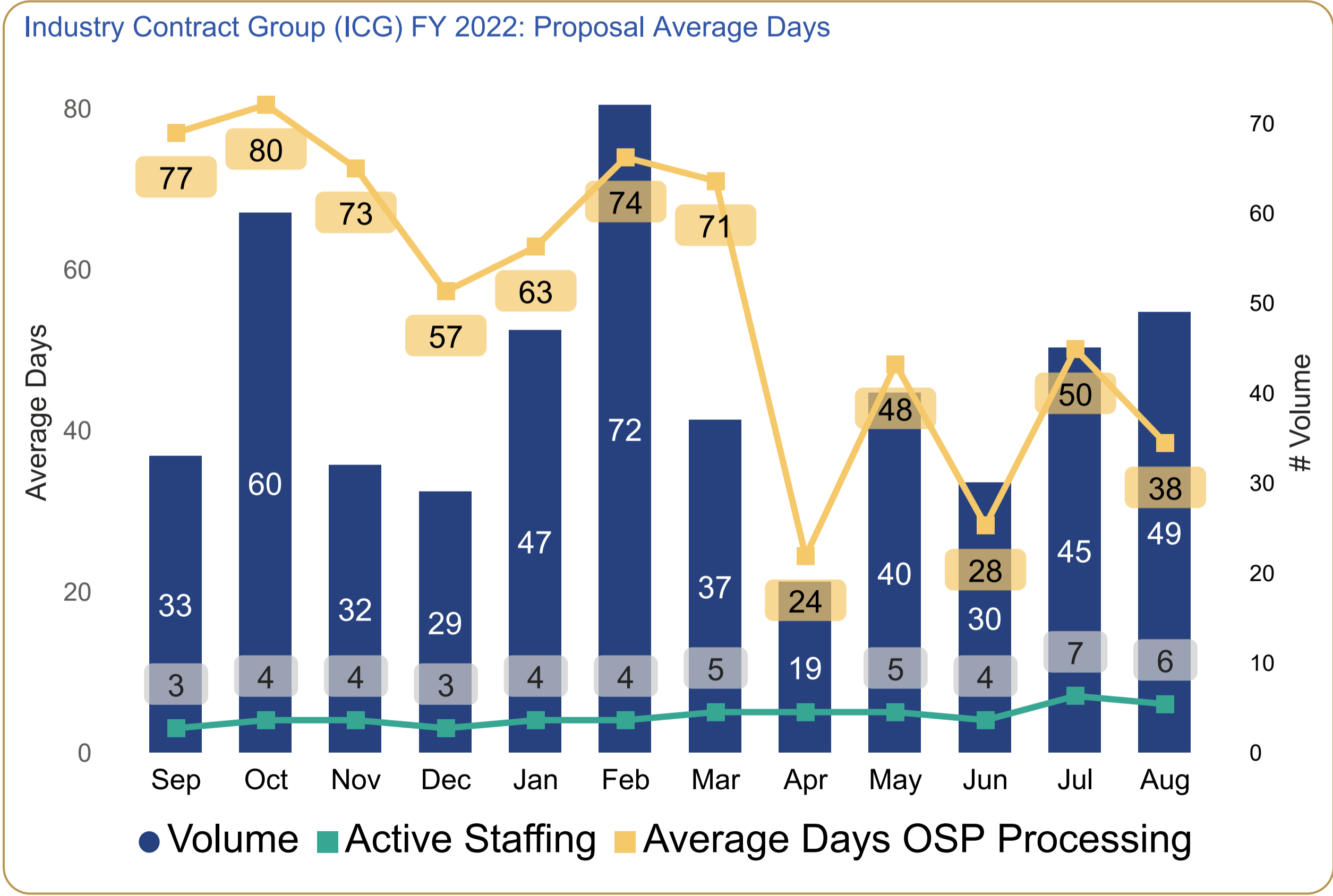
*FY 2021 All Proposal \$'s	*FY 2022 All Proposal \$'s	↑ % Increase 2021 to 2022 37.3%	FY Submissions 2021 and 2022 Proposals #'s
\$113.9M	\$151.6M		444
* Researcher 217	* Researcher 223		489

* Includes only Industry (ICG)

FY2022: INDUSTRY Average Processing Time

*Days in Dept	*Days in School	Days in OSP: Industry
5	0	60

* Days routing in Dept. and/or School processing time based on school approval process.



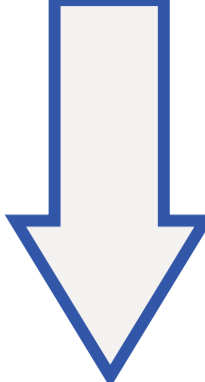
Average days processing and volume represents INDUSTRY CONTRACT GROUP only. FY22 proposals processed 489 average processing time 60 days. These are EPEX workflow processing time. Active Staffing is the number of analysts completing the work. It is not reflective of the total FTE's within a department. There was a 113 decrease in INDUSTRY CONTRACT GROUP researcher submissions FY 2021 to FY 2022. The ICG area current staffing Active (5), On Contract (1) Open (3) positions as of FY 2022. *ECTS system is used to track Negotiation of a contract. These are EPEX workflow processing time. IGC EPEX submission workflow process is different than Grants and NICG.

Semi-Annual FY22 (Sep-Aug):

RAS ABOSS Proposal Submission Turnaround Time and Volume

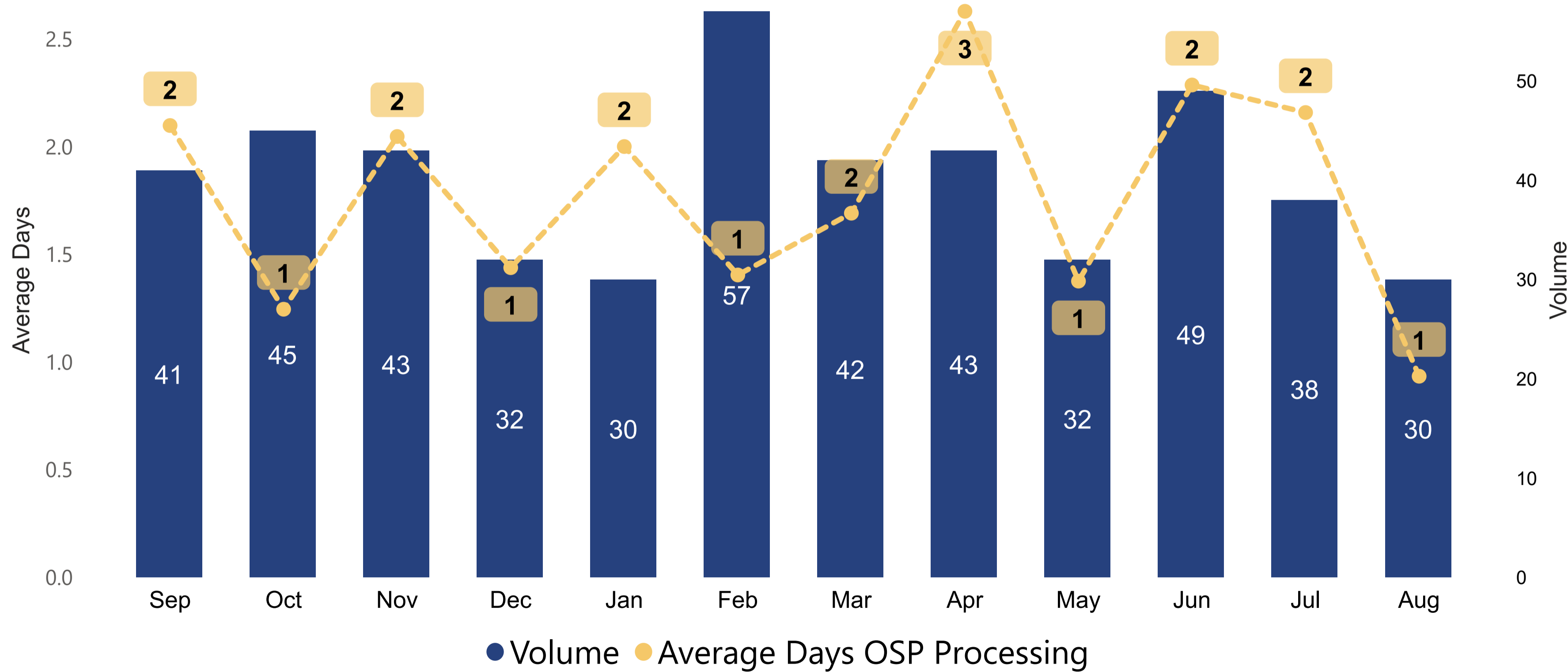


RAS_ABOSS: OSP Grants Proposal Submission Turnaround Time and Volume by RAS Annual FY22 (Sep-Aug)

*FY 2021 Proposal \$'s	*FY 2022 Proposal \$'s	 % Decrease 2021 to 2022 4.9%	FY Submissions 2021 and 2022 Proposals #'s	FY 2022: GRANTS Average Processing Time		
\$142.5M	\$138.5M		511	*Days in Dept	*Days in School	Days in OSP Grants
* Researcher 217	* Researcher 203	* Includes only Grants	482	2	1	2

* Days routing in Dept and/or School processing time based on school approval process.

RAS_ABOSS FY 2022 OSP Grants Proposal Average Days



RAS_ABOSS GRANTS SUMMARY

Proposal Submissions

- The number of Research submissions decreased by 29 compared to FY 2021 (511) and FY 2022 (482). There were 14 less researchers submitting proposals compared to FY 2021 (217) and FY 2022 (203).
- Proposed research funding amounts decreased by 4.9% compared to FY 2021 142.5(M) and FY 2022 138.5(M).
- February had the highest volume at 57 and represents 11.8% of the volume during 12 months in FY 2022. Volume ranges from 30 to 57.
- Average Days OSP processing ranged from .93 to 2.63 days.

Average days processing and volume represents **GRANTS** only. FY22 proposals processed 482 average processing time 2 days. These are **EPEX workflow** processing time.

Semi-Annual FY22 (Sep-Aug):

RAS CI OSP Grants Proposal Submission Turnaround Time and

Volume

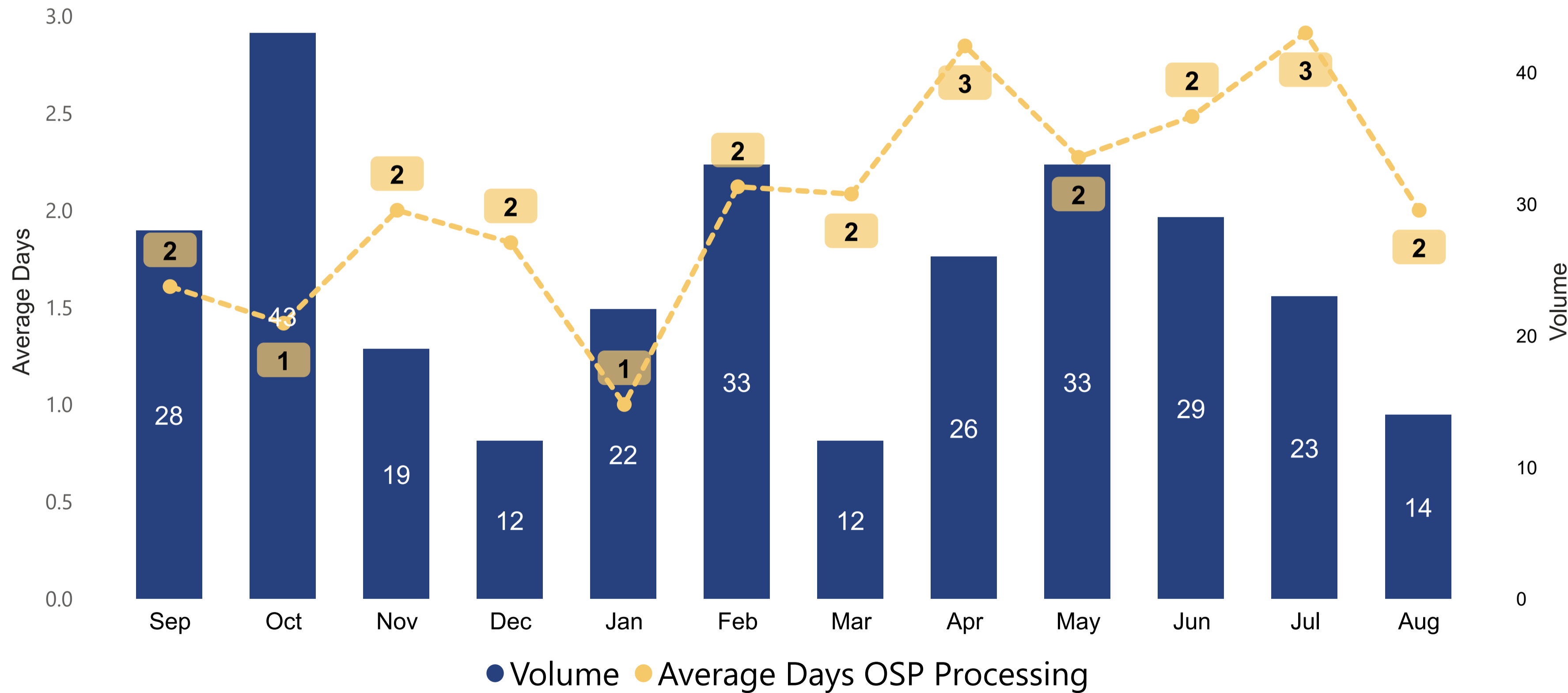


RAS_CI: OSP Grants Proposal Submission Turnaround Time and Volume by RAS Annual FY22 (Sep-Aug)

*FY 2021 Proposal \$'s	*FY 2022 Proposal \$'s	↑ % Increase 2021 to 2022 14.6%	FY Submissions 2021 and 2022 Proposals #'s	FY 2022: GRANTS Average Processing Time		
\$87.4M	\$101.9M		296	*Days in Dept	*Days in School	Days in OSP Grants
* Researcher 114	* Researcher 111	* Includes only Grants	294	1	1	2

* Days routing in Dept. and/or School processing time based on school approval process.

RAS_CI FY 2022: OSP Grants Proposal Average Days



RAS_CI GRANTS SUMMARY

Proposal Submissions

- The number of Research submissions decreased slightly by 5 compared to FY 2021 (296) and FY2022 (294). There were 3 less researchers submitting proposals compared to FY 2021 (114) and FY 2022 (111).
- Proposed research funding amounts increased by 14.6 % compared to FY 2021 87.4(M) and FY 2022 101.9.(M).
- October had the highest volume at 43 and represents 14.6% of the volume during 12 months in FY 2022. Volume ranges from 12 to 43. March and December had the lowest volume at 12, following August (14).
- Average Days OSP processing ranged from 1 to 2.91 days.

Average days processing and volume represents **GRANTS** only. FY22 proposals processed 294 average processing time 2 days. These are **EPEX workflow** processing time.

Semi-Annual FY22 (Sep-Aug):

RAS BASIC SCI OSP Grants Proposal Submission Turnaround Time

and Volume

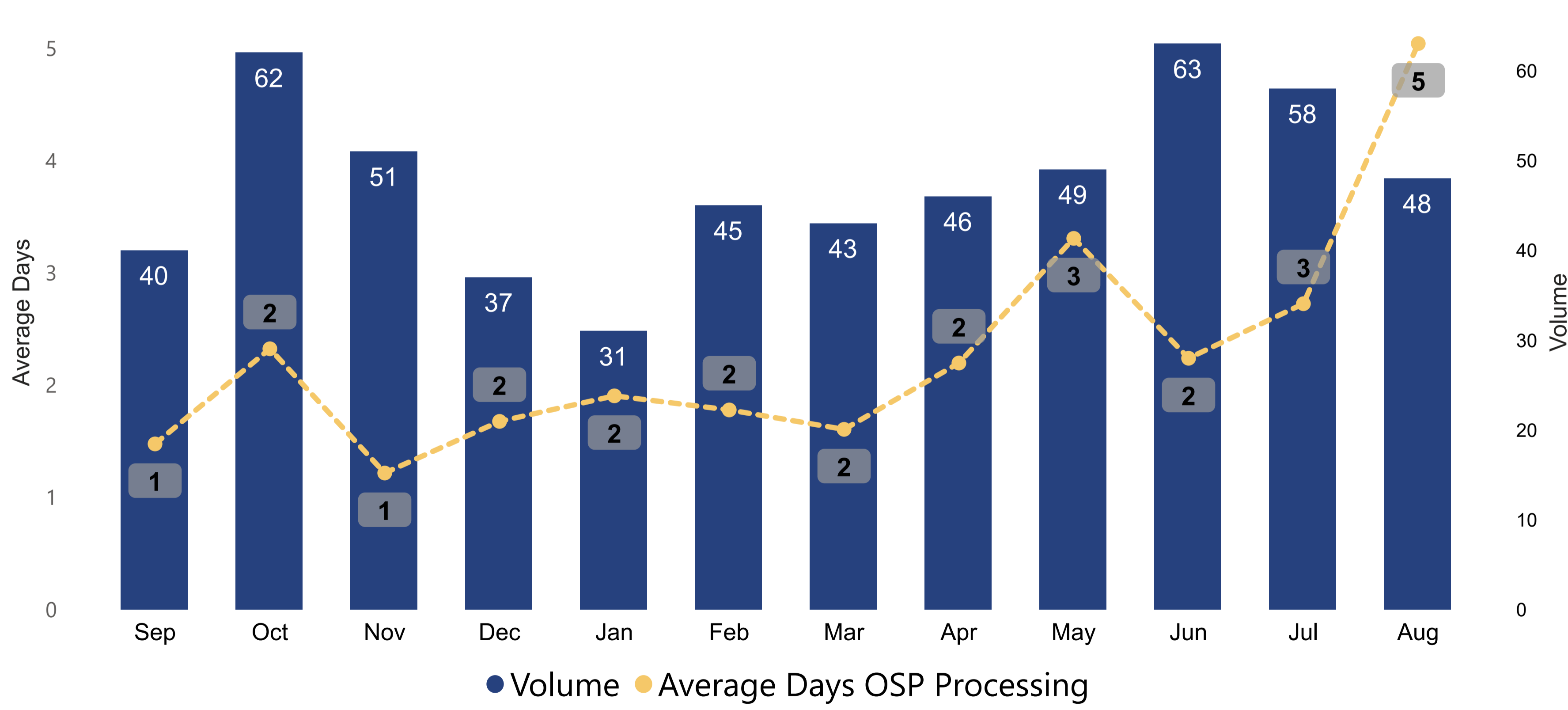


RAS_BASICSCI : OSP Grants Proposal Submission Turnaround Time and Volume by RAS Annual FY22 (Sep-Aug)

*FY 2021 Proposal \$'s	*FY 2022 Proposal \$'s	↑ % Increase 2021 to 2022 12.0%	FY Submissions 2021 and 2022 Proposals #'s	FY 2022: GRANTS Average Processing Time		
\$156.0M	\$174.7M		579	*Days in Dept	*Days in School	Days in OSP Grants
* Researcher 235	* Researcher 236	* Includes only Grants	573	3	1	2

* Days routing in Dept and/or School processing time based on school approval process.

RAS_BASICSCI FY 2022: OSP Grants Proposal Average Days



RAS_BASICSCI GRANTS SUMMARY

Proposal Submissions

- The number of Research submissions decreased slightly by 6 compared to FY 2021 (579) and FY 2022 (573). There was 1 less researchers submitting proposals compared to 2021 (235) and FY 2022 (236).
- Proposed research funding amounts increased by 12 % compared to FY 2021 156.0(M) and FY 2022 174.7.(M).
- June had the highest volume at 63 and represents 11% of the volume during 12 months in FY 2022. Volume ranges from 31 to 63. January had the lowest volume at 31, followed by December (37).
- Average Days OSP processing ranged from 1.22 to 5.04 days.

Average days processing and volume represents **GRANTS** only. FY22 proposals processed 573 average processing time 2 days. These are **EPEX workflow** processing time.

Semi-Annual FY22 (Sep-Aug):

RAS ECAS OSP Grants Proposal Submission Turnaround Time

and Volume

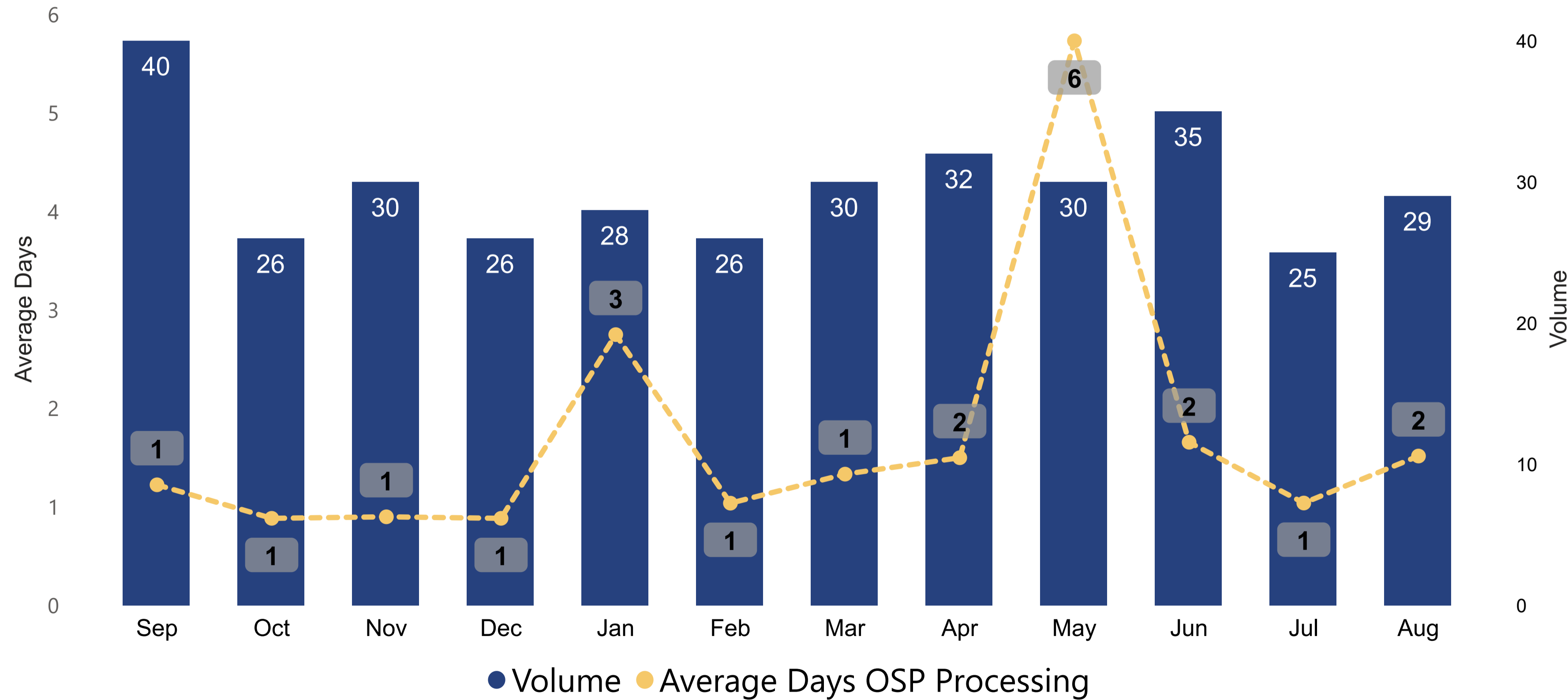


RAS_ECAS: OSP Grants Proposal Submission Turnaround Time and Volume by RAS Annual FY22 (Sep-Aug)

*FY 2021 Proposal \$'s	*FY 2022 Proposal \$'s	↑ % Increase 2021 to 2022 9.5%	FY Submissions 2021 and 2022 Proposals #'s	FY 2022: GRANTS Average Processing Time		
\$69.7M	\$76.3M		371	*Days in Dept	*Days in School	Days in OSP Grants
* Researcher 198	* Researcher 195	* Includes only Grants	357	1	6	2

* Days routing in Dept and/or School processing time based on school approval process.

RAS_ECAS FY 2022: OSP Grants Proposal Average Days



RAS_ECAS GRANTS SUMMARY

Proposal Submissions

- The number of Research submissions decreased by 14 compared to FY 2021 (371) and FY 2022 (357). There were 3 less researchers submitting proposals compared to FY 2021 (198) and FY 2022 (195).
- Proposed research funding amounts increased by 9.5% compared to FY 2021 69.7(M) and FY 2022 76.3(M).
- September had the highest volume at 40 and represents 11.2% of the volume during 12 months in FY 2022. Volume ranges from 25 to 40. July had the lowest volume at 25, followed by October, December and February (26).
- Average Days OSP processing ranged from .88 to 5.73 days.

Average days processing and volume represents **GRANTS** only. FY22 proposals processed 357 average processing time 2 days. These are **EPEX workflow** processing time.

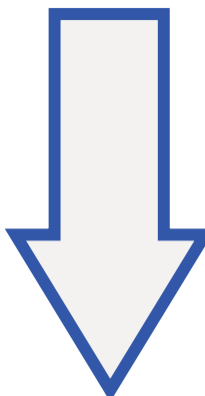
Semi-Annual FY22 (Sep-Aug):

RAS SPHN OSP Grants Proposal Submission Turnaround Time

and Volume

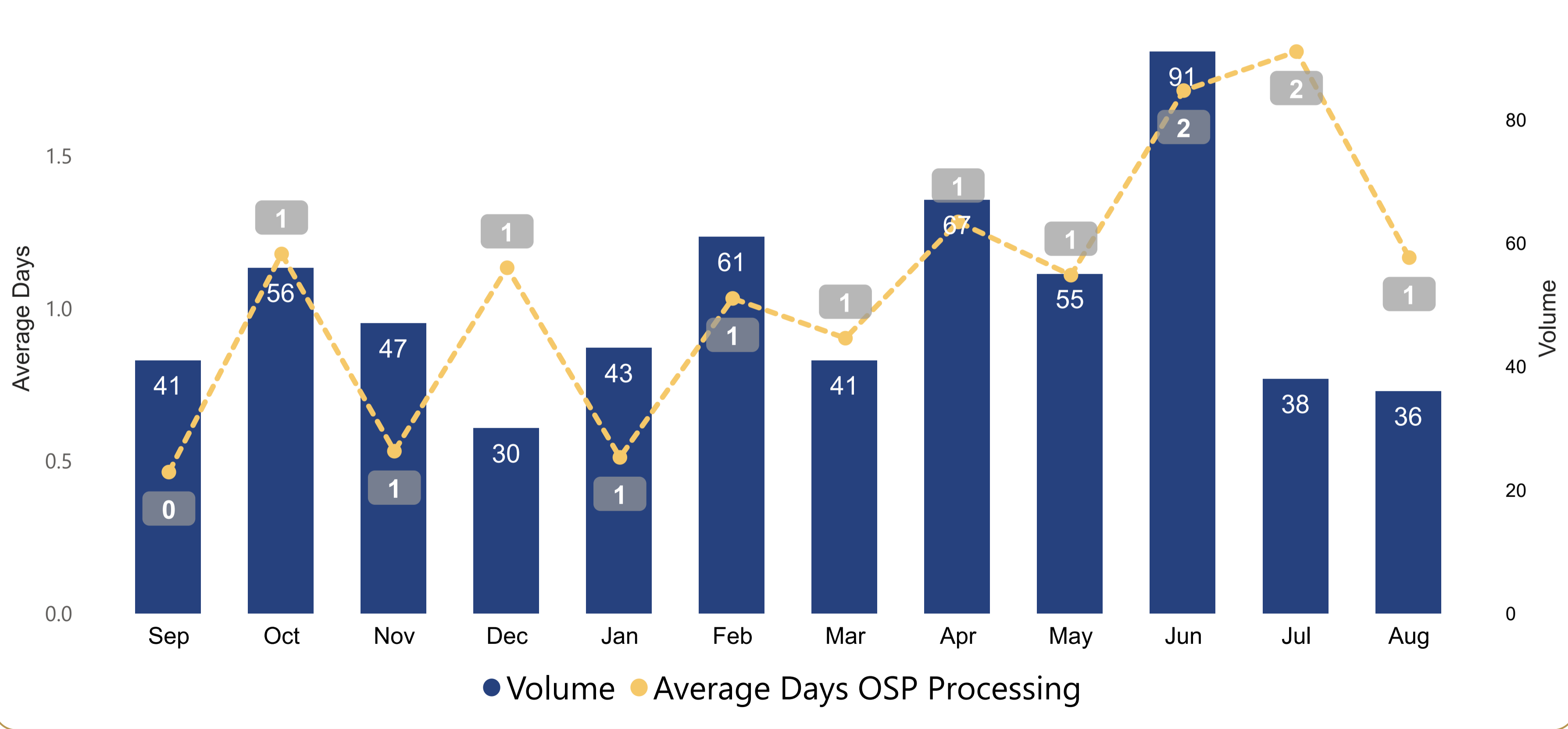


RAS_SPHN: OSP Grants Proposal Submission Turnaround Time and Volume by RAS Annual FY22 (Sep-Aug)

*FY 2021 Proposal \$'s	*FY 2022 Proposal \$'s	 % Decrease 2021 to 2022 6.3%	FY Submissions 2021 and 2022 Proposals #'s	FY 2022: GRANTS Average Processing Time		
\$232.7M	\$218.1M		606	*Days in Dept	*Days in School	Days in OSP Grants
* Researcher 216	* Researcher 223	* Includes only Grants	606	1	3	1

* Days routing in Dept and/or School processing time based on school approval process.

RAS_SPHN FY 2022: OSP Grants Proposal Average Days



RAS_SPHN GRANTS SUMMARY

Proposal Submissions

- The number of Research submissions were the same compared to FY 2021 (606) and FY 2022 (606). There was an increase of 7 researchers submitting proposals compared to FY 2021 (216) and FY 2022 (223).
- Proposed research funding amounts decreased by 6.3 % compared to FY 2021 232.7(M) and FY 2022 218.1(M).
- June had the highest volume at 91 and represents 15.02% of the volume during 12 months in FY 2022. Volume ranges from 30 to 91. December had the lowest volume at 30.
- Average Days OSP processing ranged from .46 to 1.84 days.

Average days processing and volume represents **GRANTS** only. FY22 proposals processed 606 average processing time 1 days. These are **EPEX workflow** processing time.

Semi-Annual FY22 (Sep-Aug):

RAS PEDS OSP Grants Proposal Submission Turnaround Time

and Volume

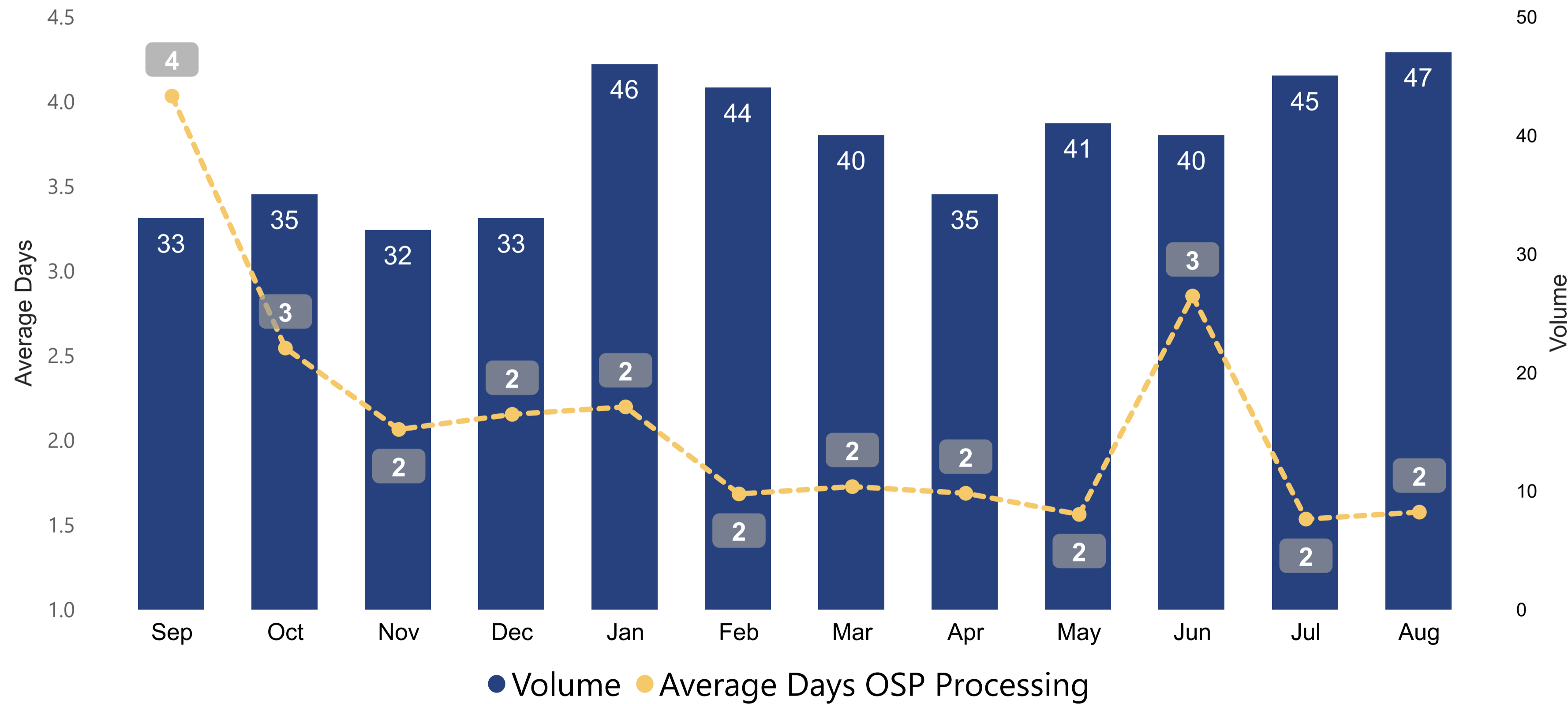


RAS_PEDS: OSP Grants Proposal Submission Turnaround Time and Volume by RAS Annual FY22 (Sep-Aug)

*FY 2021 Proposal \$'s	*FY 2022 Proposal \$'s	↑ % Increase 2021 to 2022 23.9%	FY Submissions 2021 and 2022 Proposals #'s	FY 2022: GRANTS Average Processing Time		
\$137.3M	\$170.1M		479	*Days in Dept	*Days in School	Days in OSP Grants
* Researcher 201	* Researcher 200	* Includes only Grants	471	3	0	2

* Days routing in Dept and/or School processing time based on school approval process.

RAS_PEDS FY 2022: OSP Grants Proposal Average Days



RAS_PEDS GRANTS SUMMARY

Proposal Submissions

- The number of Research submissions decreased by 1 compared to FY 2021(201) and FY 2022 (200). There was an decrease of 8 researchers submitting proposals compared to FY 2021 (471) and FY 2022 (479).
- Proposed research funding amounts decreased by 23.9 % compared to FY 2021 137.3(M) and FY 2022 170.1(M).
- August had the highest volume at 47 and represents 9.98% of the volume during 12 months in FY 2022. Volume ranges from 32 to 91. November had the lowest volume at 32.
- Average Days OSP processing ranged from 1.53 to 4.03 days.

Average days processing and volume represents **GRANTS** only. FY22 proposals processed 471 average processing time 2 days. These are **EPEX workflow** processing time.

Semi-Annual FY22 (Sep-Aug):

RAS MED OSP Grants Proposal Submission Turnaround Time

and Volume

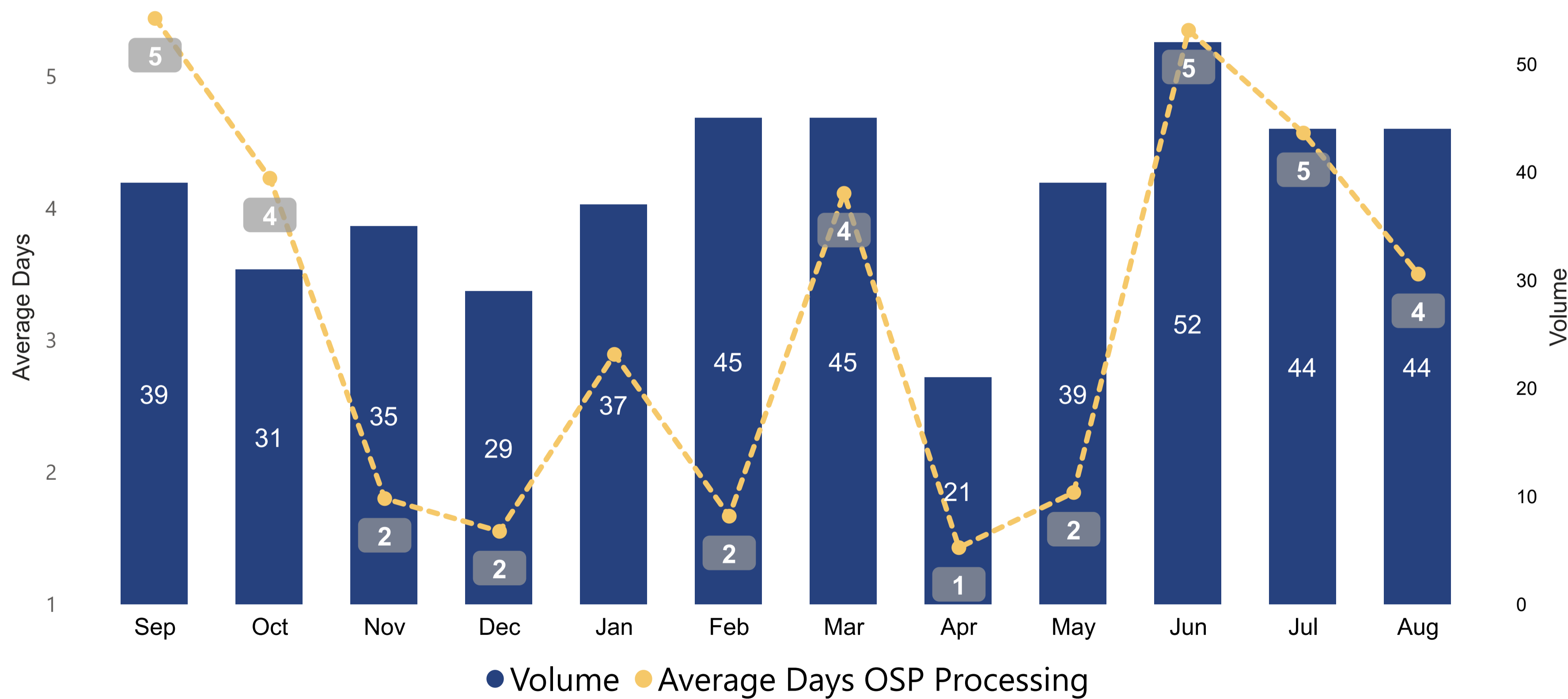


RAS_MED: OSP Grants Proposal Submission Turnaround Time and Volume by RAS Annual FY22 (Sep-Aug)

*FY 2021 Proposal \$'s	*FY 2022 Proposal \$'s	<p>% Increase 2021 to 2022 30.5%</p> <p>* Includes only Grants</p>	FY Submissions 2021 and 2023 Proposals #'s	FY 2022: GRANTS Average Processing Time		
\$253.7M	\$176.4M		472	*Days in Dept	*Days in School	Days in OSP Grants
* Researcher 195	* Researcher 193		438	1	1	3

* Days routing in Dept and/or School processing time based on school approval process.

RAS_MED FY 2022: OSP Grants Proposal Average Days



RAS_MED GRANTS SUMMARY

Proposal Submissions

- The number of Research submissions decreased by 34 compared to FY 2021(472) and FY 2022 (438). There was an decrease of 2 researchers submitting proposals compared to FY 2021 (195) and FY 2022 (193).
- Proposed research funding amounts decreased by 30.5% compared to FY 2021 253.7(M) and FY 2022 176.4(M).
- June had the highest volume at 52 and represents 11.28% of the volume during 12 months in FY 2022. Volume ranges from 21 to 52. April had the lowest volume at 21.
- Average Days OSP processing ranged from 1.43 to 5.44 days.

Average days processing and volume represents **GRANTS** only. FY22 proposals processed 438 average processing time 3 days. These are **EPEX workflow**. processing time.

Semi-Annual FY22 (Sep-Aug):

RAS HOSP OSP Grants Proposal Submission Turnaround Time

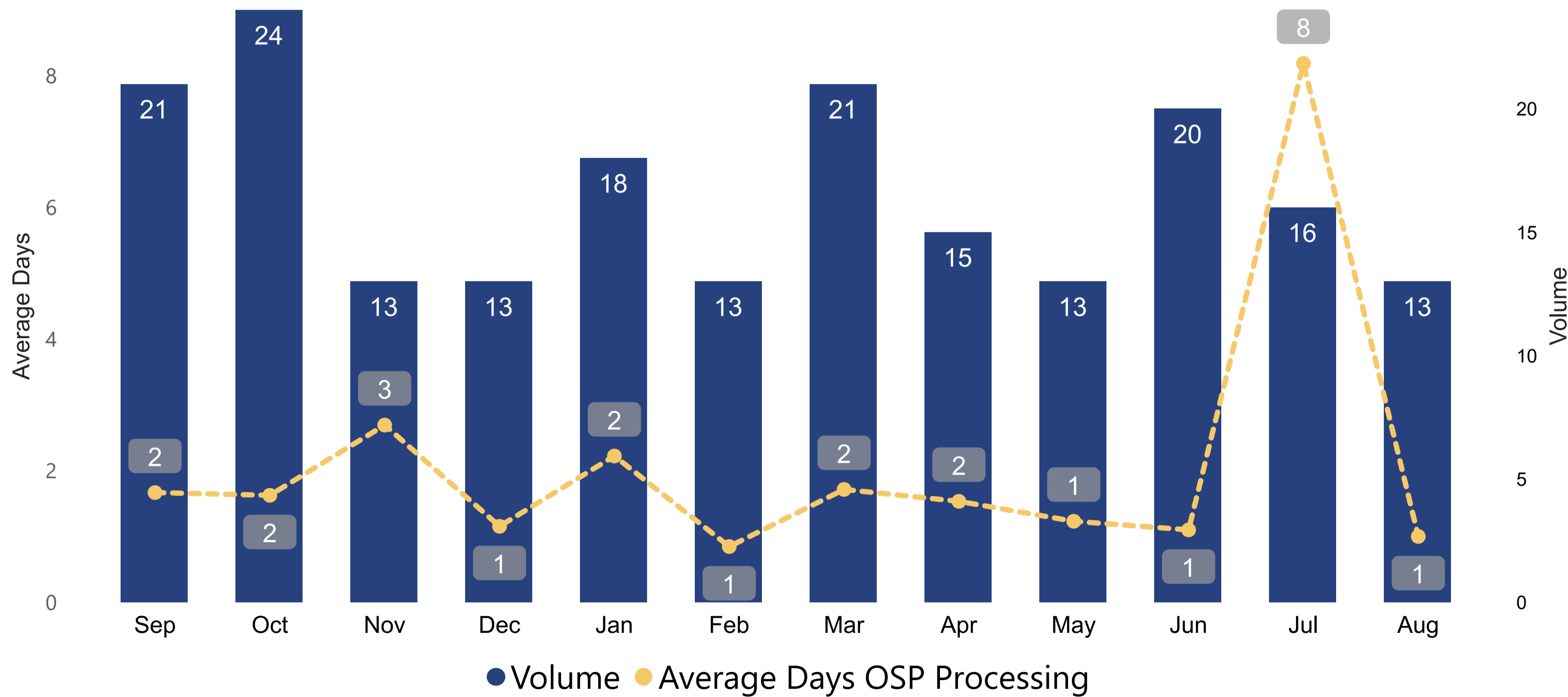
and Volume



RAS_HOSP: OSP Grants Proposal Submission Turnaround Time and Volume by RAS Annual FY22 (Sep-Aug)

*FY 2021 Proposal \$'s	*FY 2022 Proposal \$'s	↑ % Increase 2021 to 2022 6.9%	FY Submissions 2021 and 2022 Proposals #'s	FY 2022: GRANTS Average Processing Time		
\$60.9M	\$65.1M		210	*Days in Dept 3	*Days in School 0	Days in OSP Grants 2
* Researcher 89	* Researcher 90	* Includes only Grants	200	* Days routing in Dept and/or School processing time based on school approval process.		

RAS_HOSP FY 2022: OSP Grants Proposal Average Days



RAS_HOSP GRANTS SUMMARY

Proposal Submissions

- The number of Research submissions decreased by 10 compared to FY 2021 (210) and FY 2022 (200). There was an increase of 1 researcher submitting proposals compared to FY 2021 (89) and FY 2022 (90).
- Proposed research funding amounts increased by 6.9 % compared to FY 2021 60.9(M) and FY 2022 65.1(M).
- October had the highest volume at 24 and represents 12.0% of the volume during 12 months in FY 2022. Volume ranges from 13 to 24. The 5 months with the lowest volume at 13 were November, December, February, May and August.
- Average Days OSP processing ranged from .85 to 8.19 days.

Average days processing and volume represents **GRANTS** only. FY22 proposals processed 200 average processing time 2 days. These are **EPEX workflow** processing time.

Semi-Annual FY22 (Sep-Aug):

RAS EPC OSP Grants Proposal Submission Turnaround Time

and Volume

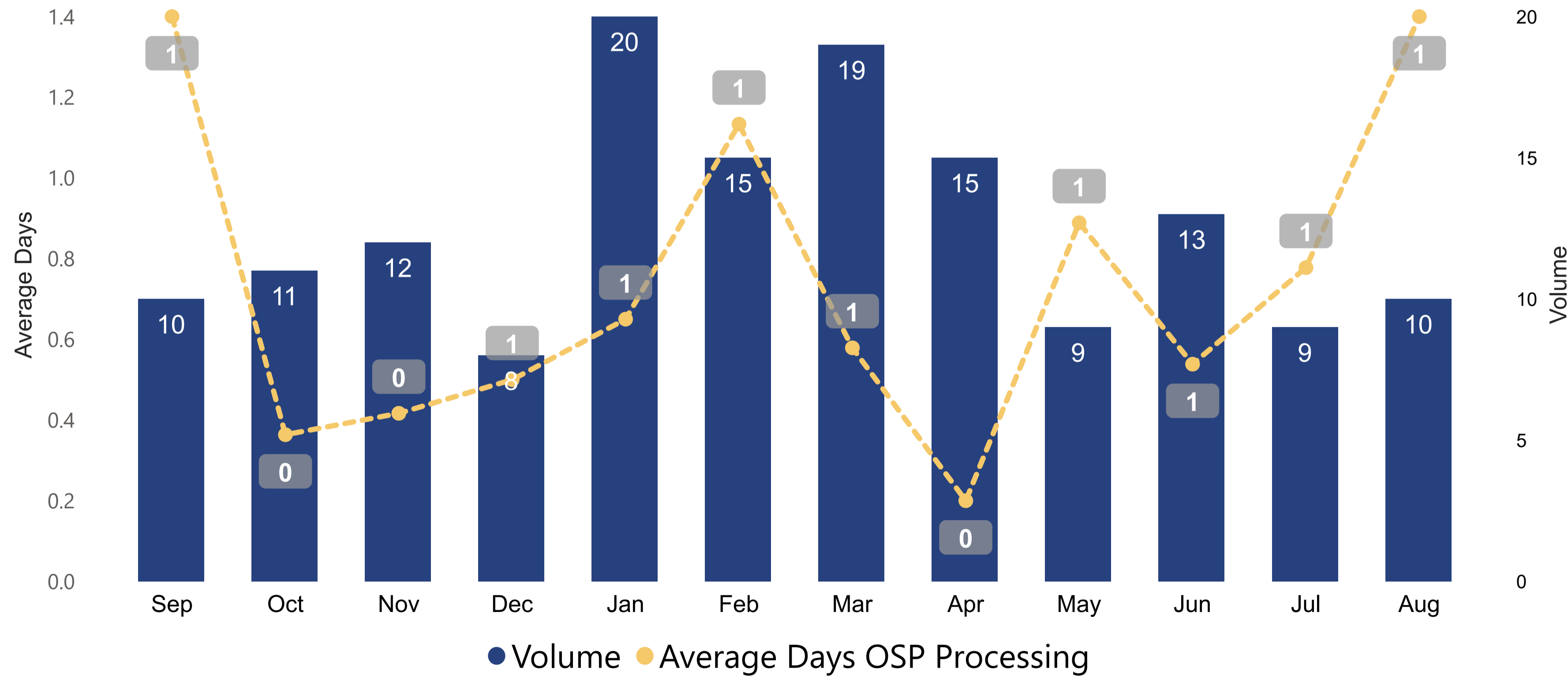


RAS_EPC: OSP Grants Proposal Submission Turnaround Time and Volume by RAS FY 2022

*FY 2021 Proposal \$'s	*FY 2022 Proposal \$'s	↑ % Increase 2021 to 2022 9.5%	FY Submissions 2021 and 2022 Proposals #'s	FY 2022: GRANTS Average Processing Time		
\$108.4M	\$118.7M		177	*Days in Dept	*Days in School	Days in OSP Grants
* Researcher 61	* Researcher 51	* Includes only Grants	151	2	3	1

* Days routing in Dept and/or School processing time based on school approval process.

RAS_EPC FY 2022: OSP Grants Proposal Average Days



RAS_EPC GRANTS SUMMARY

Proposal Submissions

- The number of Research submissions decreased by 26 compared to FY 2021(177) and FY 2022 (151). There was an decrease of 10 researchers submitting proposals compared to FY 2021 (61) and FY 2022 (51).
- Proposed research funding amounts increased by 9.5 % compared to FY 2021 108.4(M) and FY 2022 118.7(M).
- January had the highest volume at 19 and represents 13.25% of the volume during 12 months in FY 2022. Volume ranges from 8 to 19. December had the lowest volume at 8.
- Average Days OSP processing ranged from .20 to 1.40 days.

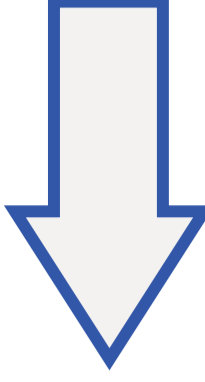
Average days processing and volume represents **GRANTS** only. FY22 proposals processed 151 average processing time 1 day. These are **EPEX workflow** processing time.

Semi-Annual FY22 (Sep-Aug):

RAS UNCLASSIFIED Proposal Submission Turnaround Time and Volume

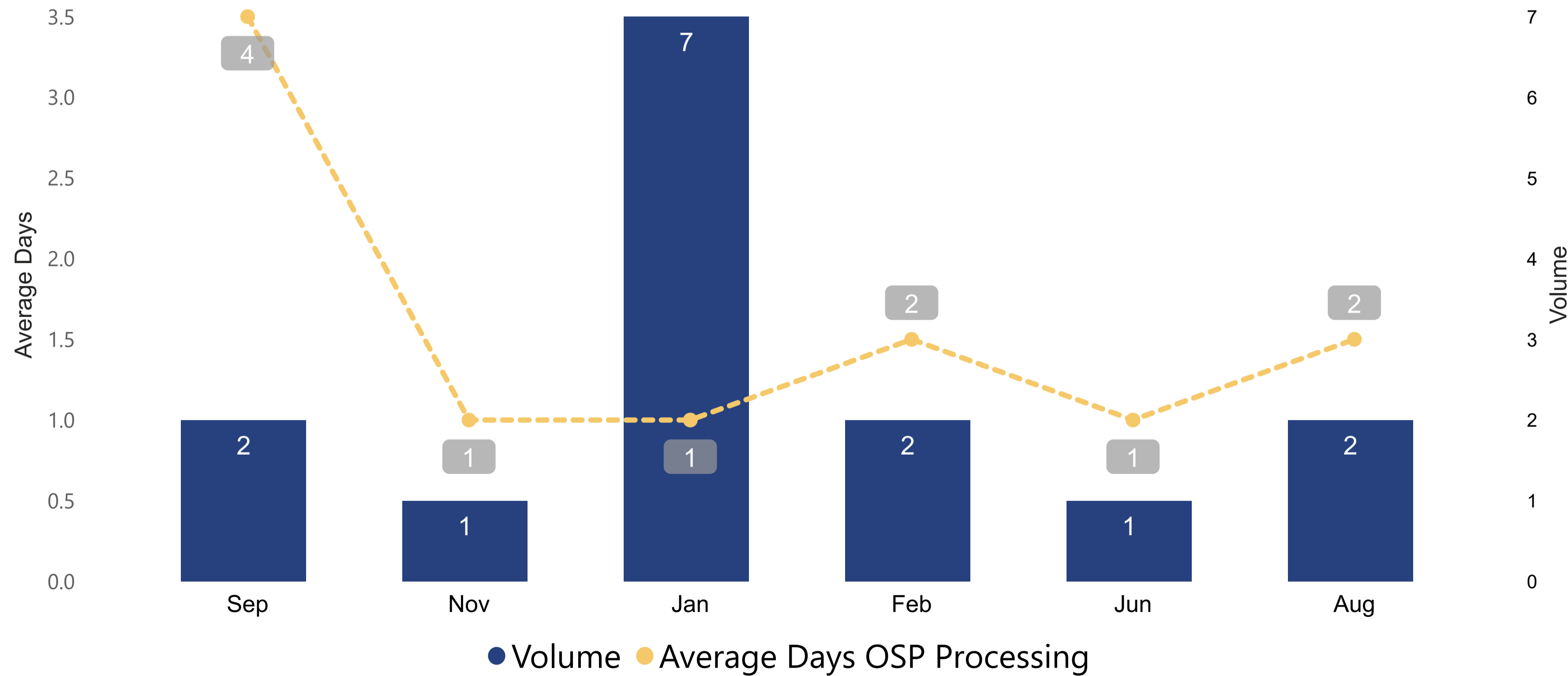


RAS_UNCLASSIFIED: OSP Grants Proposal Submission Turnaround Time and Volume by RAS FY 2022

*FY 2021 Proposal \$'s	*FY 2022 Proposal \$'s	 % Decrease 2021 to 2022 5.7%	FY Submissions 2021 and 2022 Proposals #'s	FY 2022: GRANTS Average Processing Time		
\$3.5M	\$3.3M		22	*Days in Dept	*Days in School	Days in OSP Grants
* Researcher 18	* Researcher 15	* Includes only Grants	15	2	1	2

* Days routing in Dept and/or School processing time based on school approval process.

RAS_UNCLASSIFIED FY 2022: OSP Grants Proposal Average Days



RAS_UNCLASSIFIED GRANTS SUMMARY

Proposal Submissions

- The number of Research submissions decreased by 7 compared to FY 2021 (22) and FY 2022 (15). There was an decrease of 3 researchers submitting proposals compared to FY 2021 (6118) and FY 2022 (15).
- Proposed research funding amounts decreased by 5.7 % compared to FY 2021 3.5(M) and FY 2022 3.3(M).
- January had the highest volume at 7 and represents 46.7% of the volume during 12 months in FY 2022. Volume ranges from 1 to 2. November, January and February had the lowest volume at 1.
- Average Days OSP processing ranged from 1 to 3.5 days.

Average days processing and volume represents **GRANTS** only. FY22 proposals processed 15 average processing time 2 days. These are **EPEX workflow** processing time.

Semi-Annual FY22 (Sep-Aug):

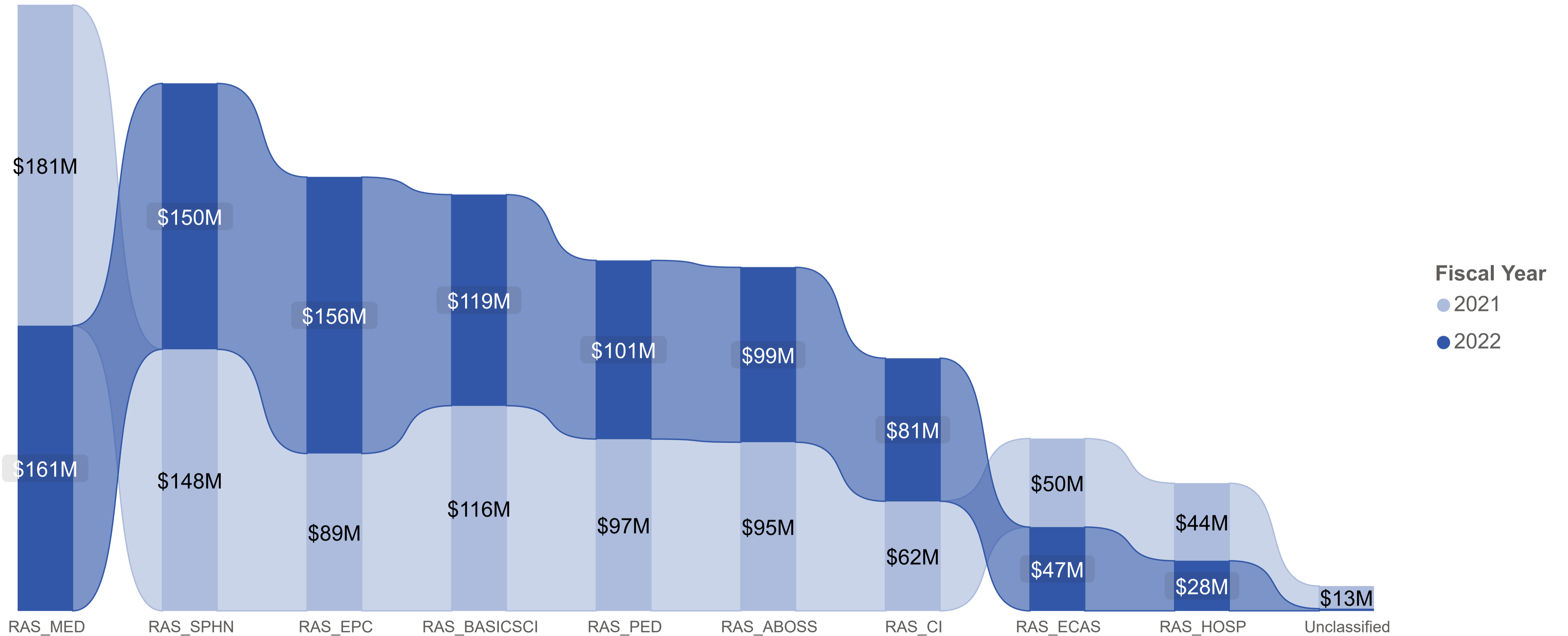
Award Funding by RAS Annual

Award Volume by RAS

Award Funding and Volume by School and Business Units



Award Funding FY2021 and FY2022

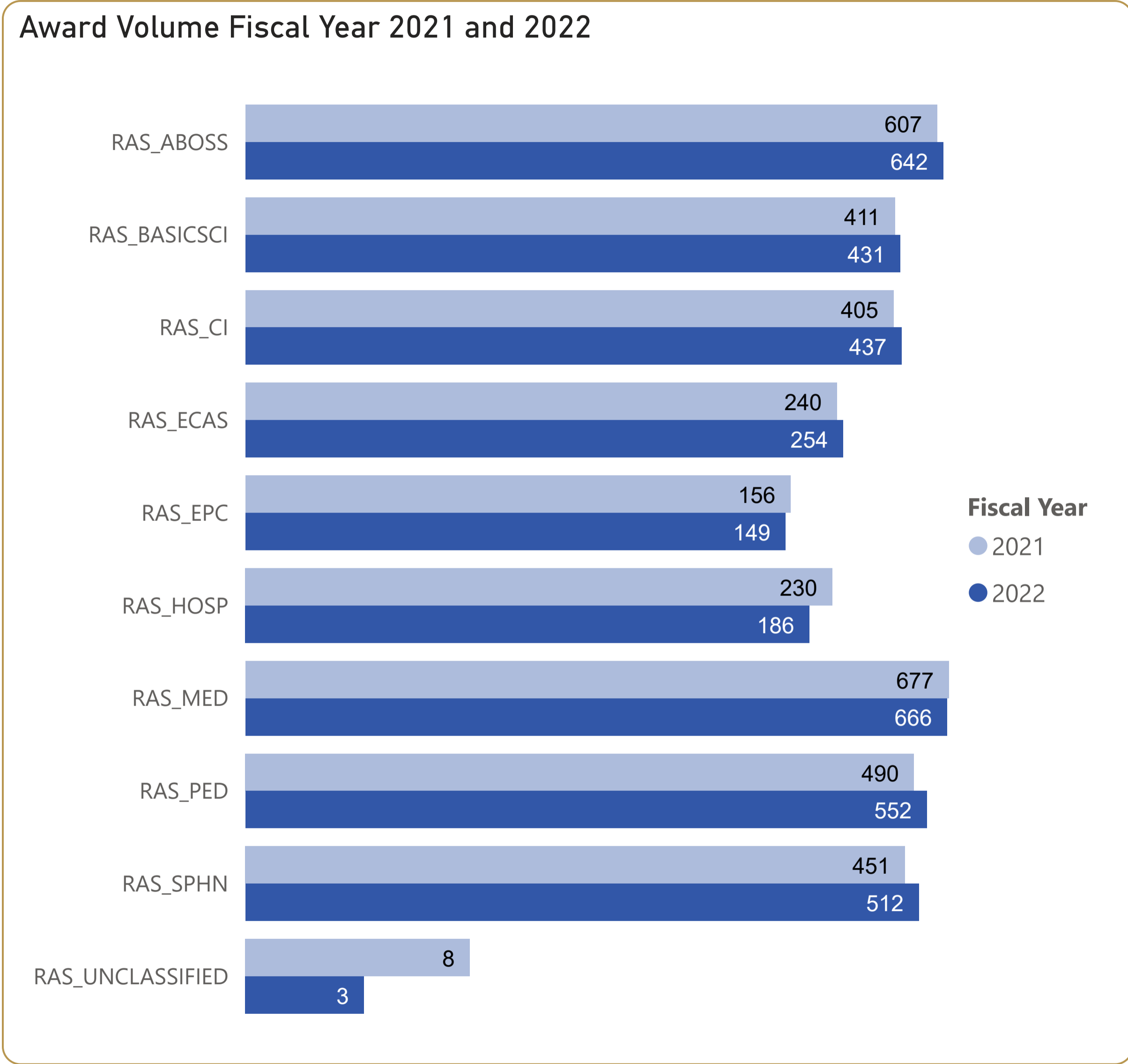
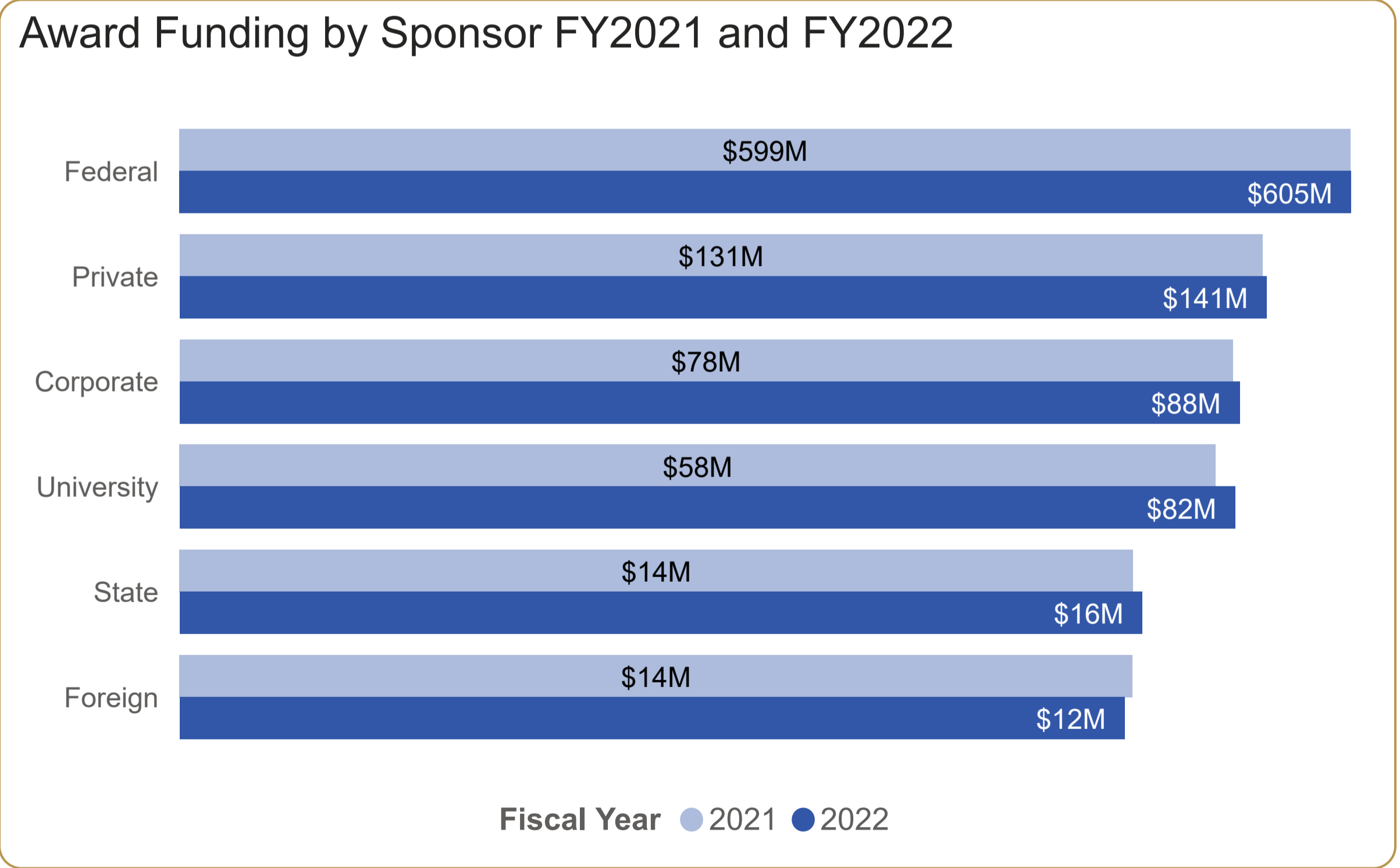


Total funding amount was higher for FY2022 \$944.5(M) than FY2021 \$894.7(M). Average amount was higher for FY2022 \$94.4(k) than FY2021 \$89.5(k). RAS MED in Fiscal Year 2021 made up 10% of amount. RAS MED funding amount decreased 5.6% in FY 2022. RAS EPC had the most variation in total funding amount for FY2022 and FY2021. The total funding for RAS EPC awards increased by \$67.3(k) in FY2022.

Award Funding and Volume by RAS Annual FY22 (Sep-Aug)

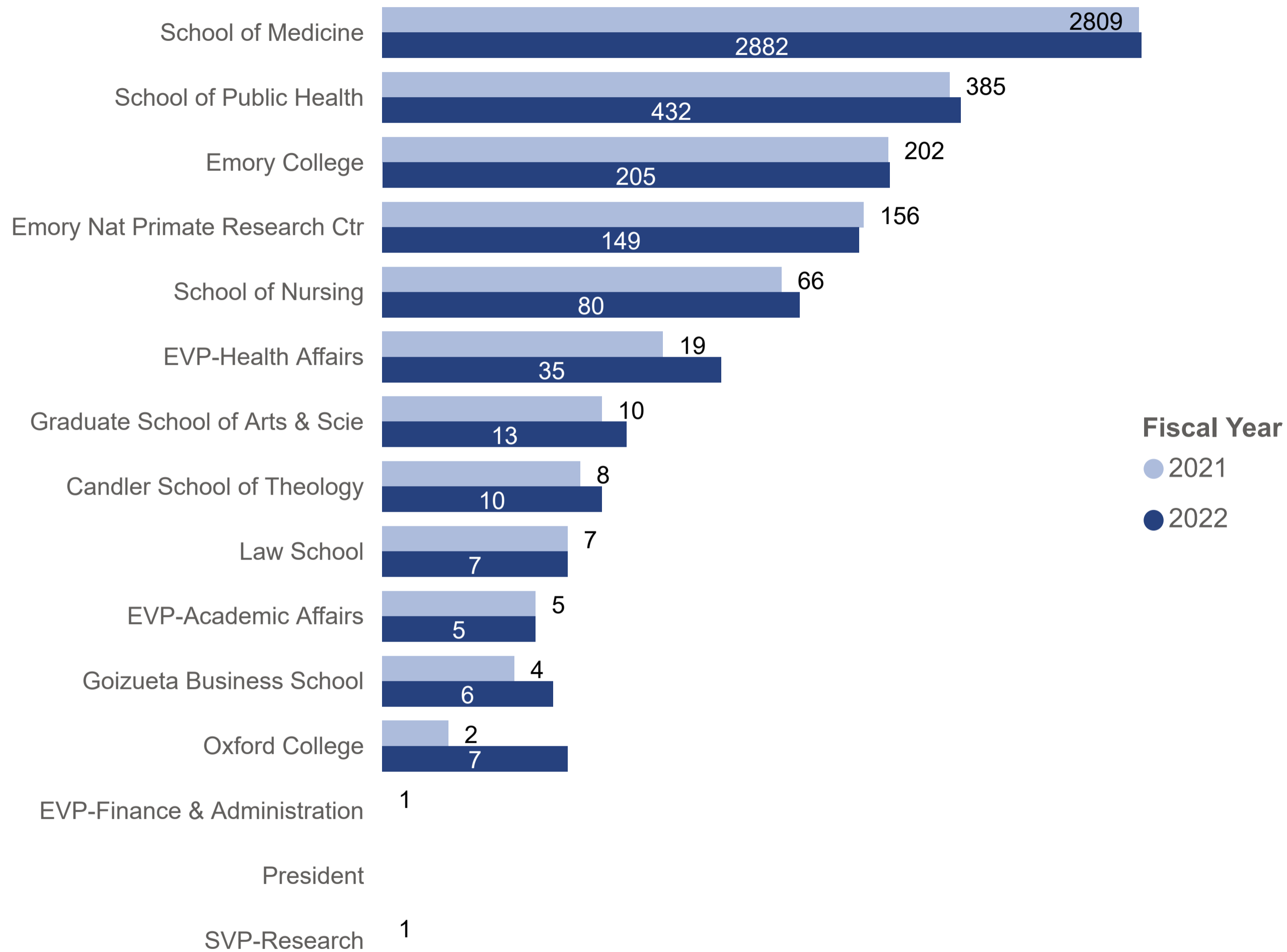


*The 12.0% increase is largely driven by growth in new research awards. Emory Primate Center (EPC) recorded the highest dollars in new awards 86.5(M) followed by RAS SPHN at 49.2 (M). New award funding represents 32.9% of the total FY2022 award amount.



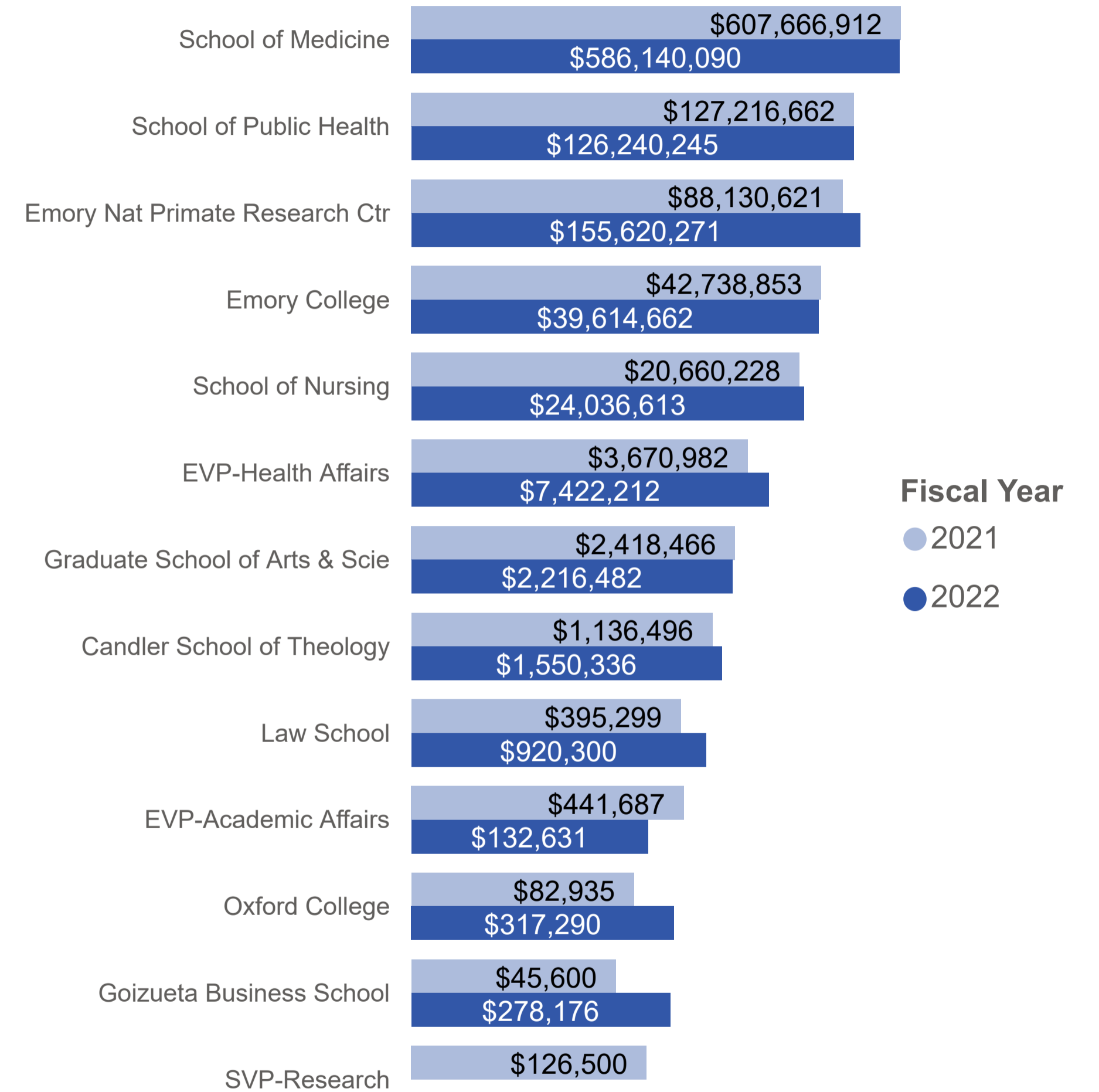
Total award volume was higher for FY2022 (3,832) than FY2021 (3,675). Federal sponsor type made up 64.1% of the total funding in FY2022. University sponsor type had the highest increase funding at 24(k). Foreign sponsor type had the highest decrease at 14.3%.

Award Volume Fiscal Year 2021 and 2022



School of Medicine in FY 2022 made up 38% of Volume. Average Volume was higher for FY 2022(331) than FY2021 (306). School of Medicine had the most variation in volume for FY 2021 and FY 2022. The volume increased by 116 in FY 2022.

Award Funding Fiscal Year 2021 and 2022



School of Medicine in Fiscal Year 2022 made up 34% of Amount. Average amount was higher for FY2022 (\$29.6M) than 2021 (\$26.4M). Emory Primate Center (EPC) had the most variation in amount for FY 2021 and FY 2022. The amount increased by \$14M in FY 2022.

Semi-Annual FY22 (Sep-Aug)

Subcontract Processing

OSP Grants and Contract Subcontract Processing by RAS Unit

Average Number of Days ICG Contract Processing

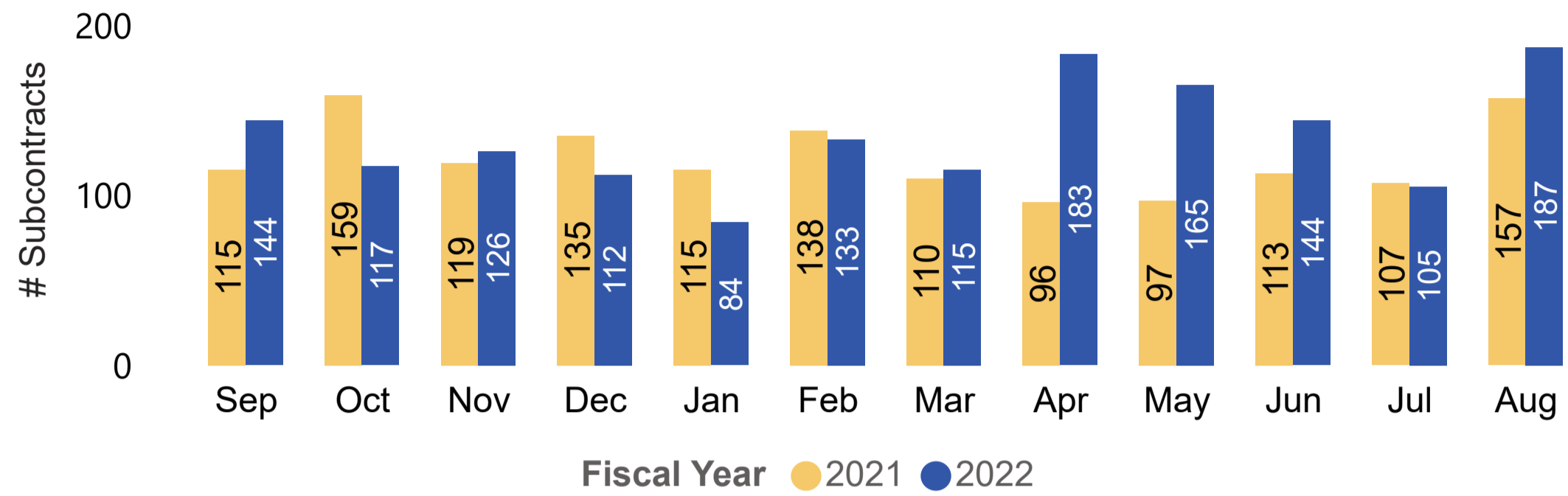
Average Number of Days Non-Industry Contract Processing

Contracts Processing Time Semi-Annual

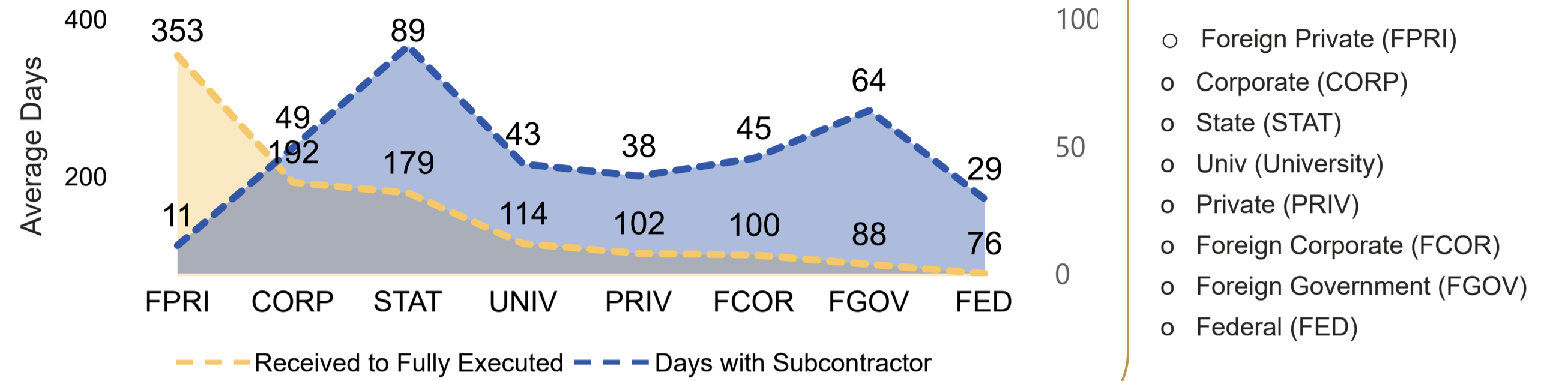


Subcontract Processing Semi-Annual FY22 (Sep-Aug)

Subcontracts Processed FY: 2021 and 2022



Subcontract Processing Time by Prime Sponsor Type: FY2022

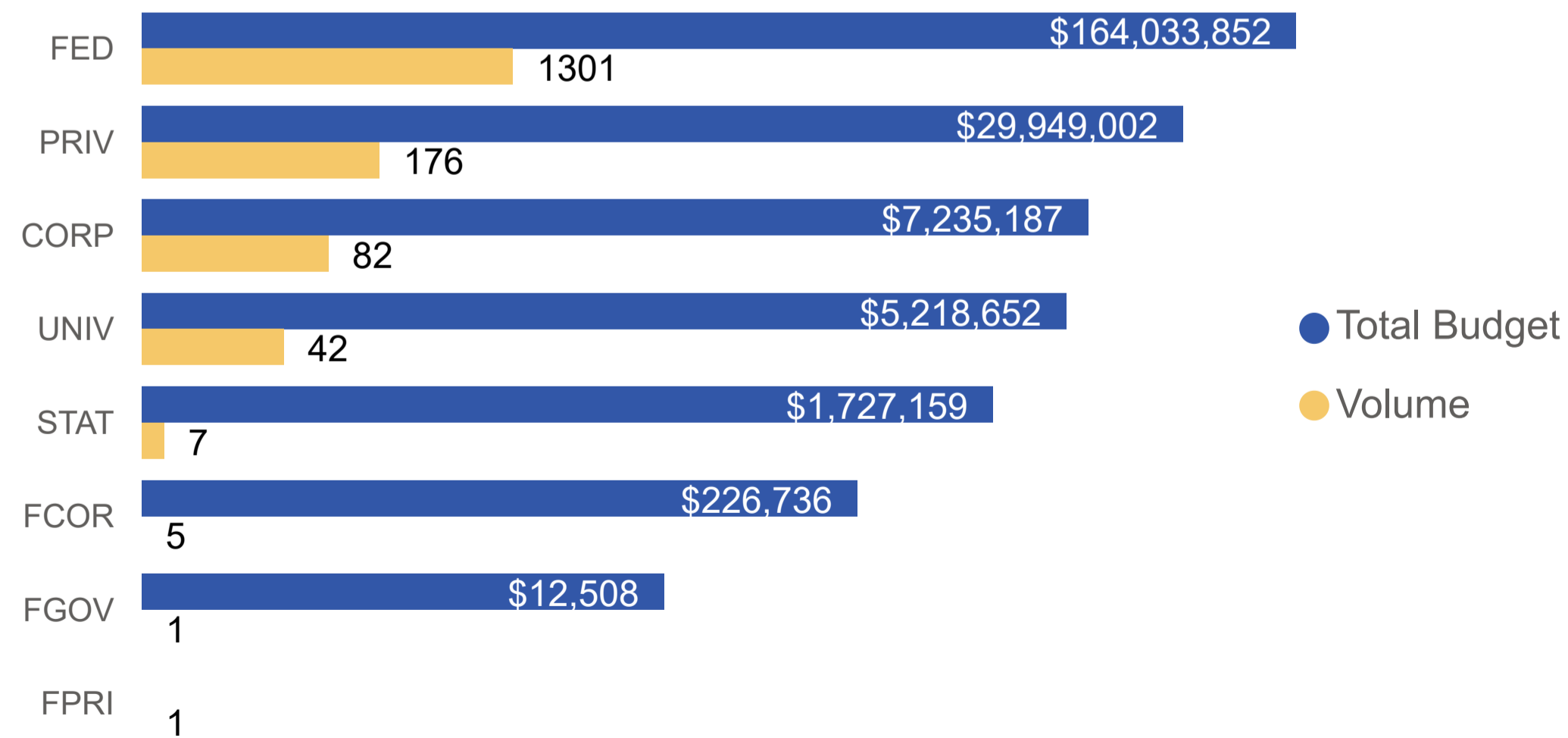


- Sponsor Type**
- Foreign Private (FPRI)
 - Corporate (CORP)
 - State (STAT)
 - Univ (University)
 - Private (PRIV)
 - Foreign Corporate (FCOR)
 - Foreign Government (FGOV)
 - Federal (FED)

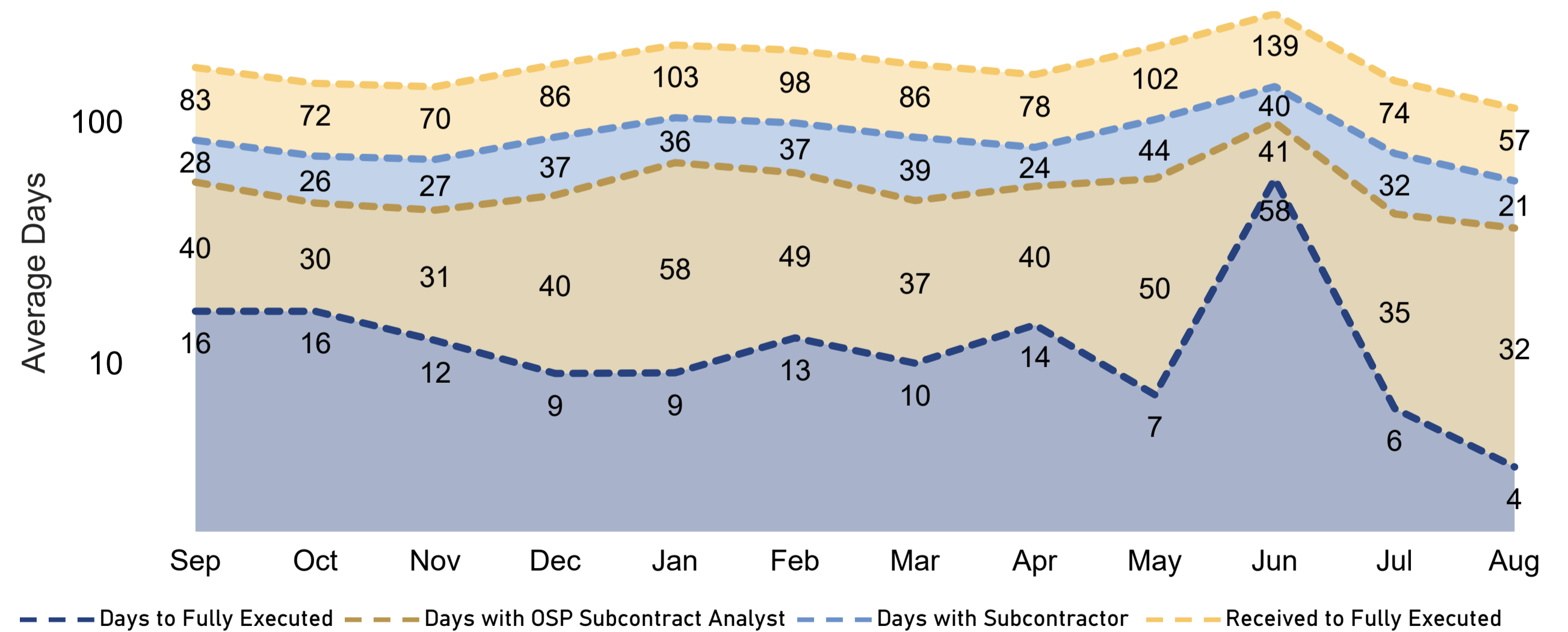
Volume of subcontracts was higher for FY2022 (1,615) than FY2021 (1,461). August had the highest number processed at (187). Subcontracts volume increased 11% in FY2022.

• Average days received to fully executed ranged from 76 to 353 days in FY 2022.

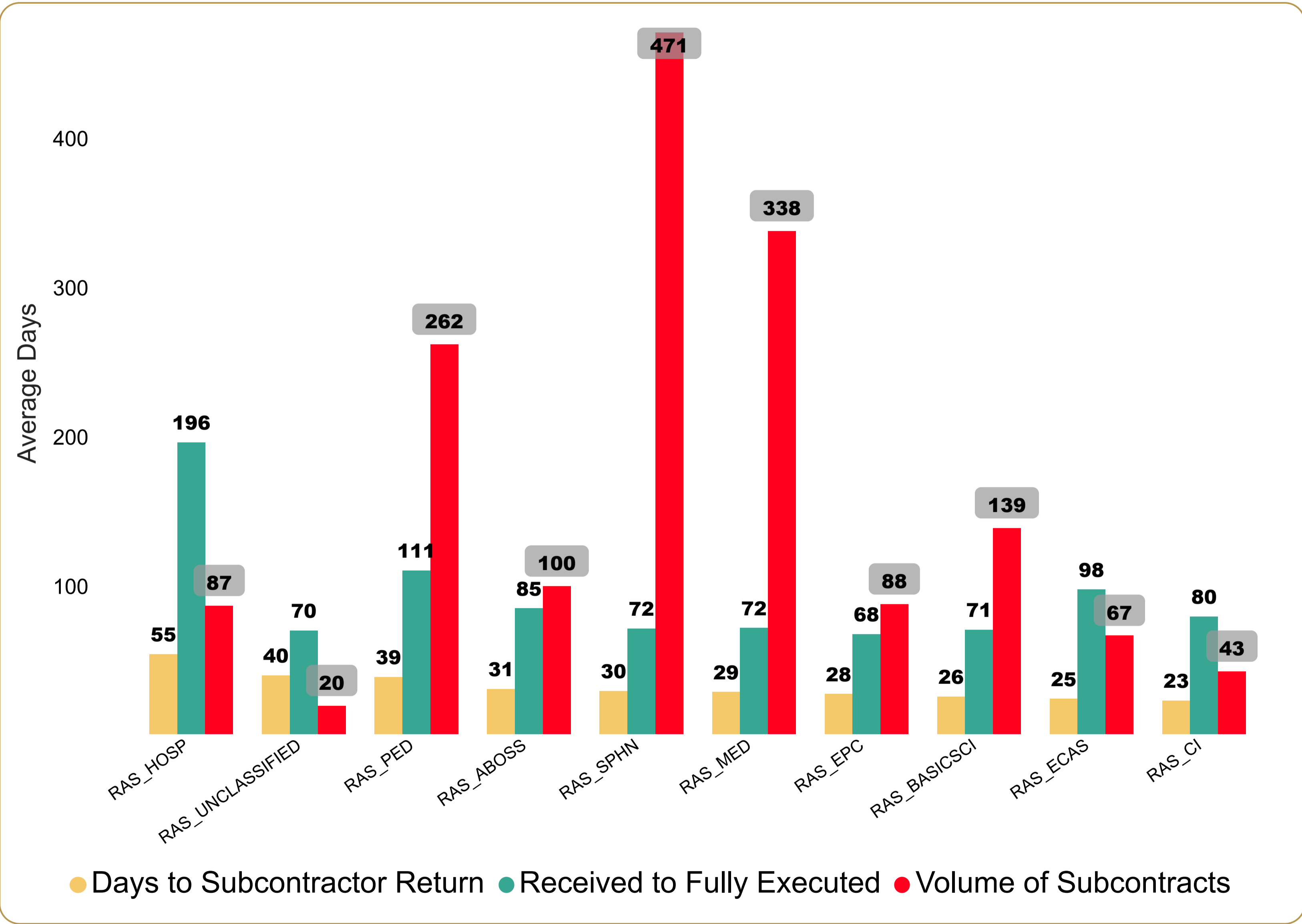
Subcontracts Volume and Amount



Subcontract Processing Time by Month: FY2022



● Days to Fully Executed (OSP Subcontract Analyst) ● Days with Subcontract Analyst (Receipt to first draft), ● Days with Subcontractor ● Received to Fully Executed (Total subcontract processing time)



FY 2021 Subcontracts: 1461

FY 2022 Subcontracts: 1615

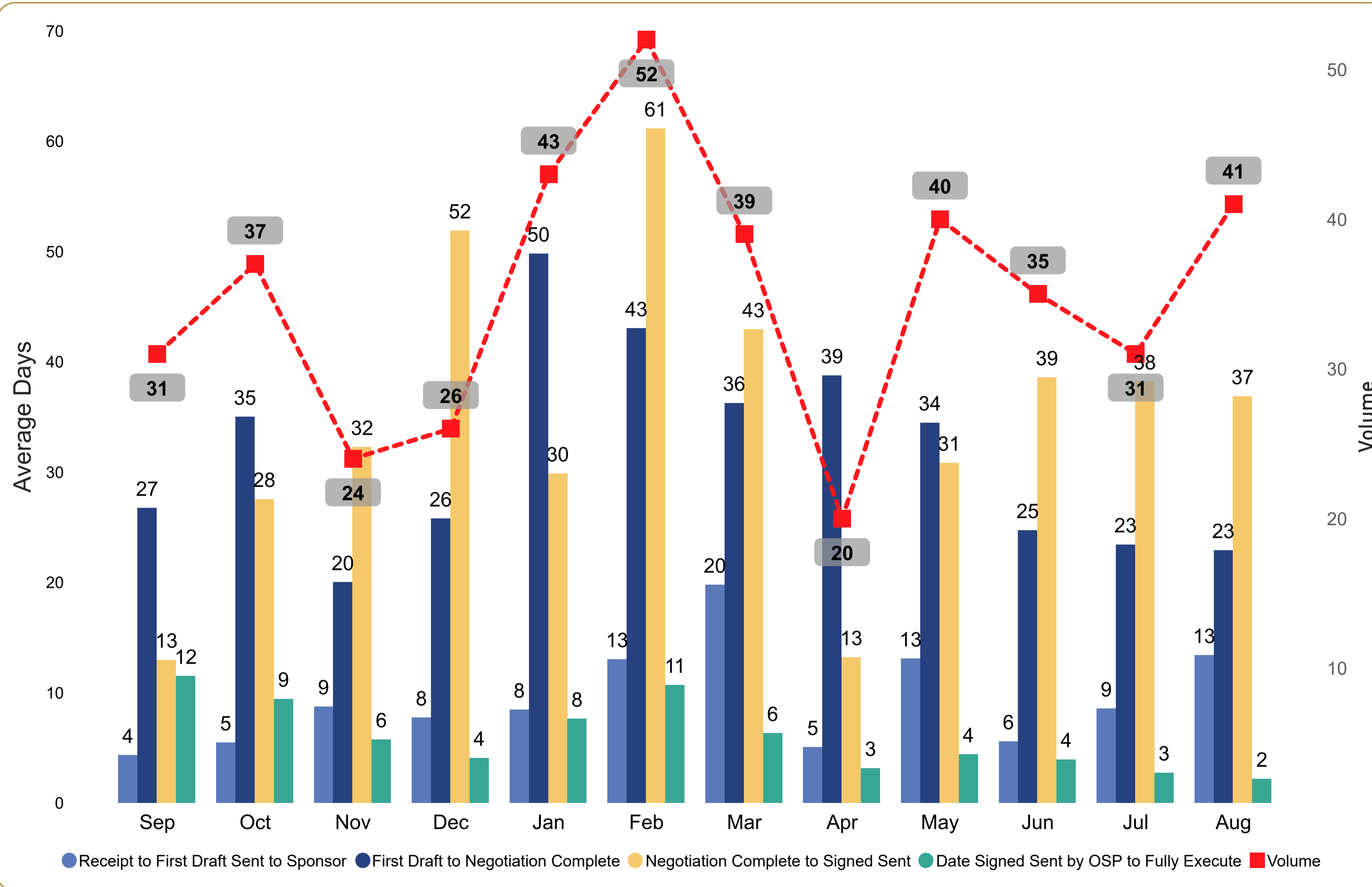
% Increase 2021 to 2022: 10.5%

SUMMARY SUBCONTRACTS

- Total volume of subcontracts executed was higher for FY 2022 (1615) than FY 2021 (1461).
- Processing time across all RAS units, Received to Fully Executed ranged from 67.89 to 196.3, Days to Sponsor Return ranged from 23.3 to 54.5, and Volume of Subcontracts ranged from 20 to 471.
- RAS_SPHN accounted for 29.2% of volume of subcontracts.
- Received to fully executed and the total days to sponsor return are positively correlation with each other,

Average days received to fully executed represents total processing time. Average days with Sponsor represents sub-awardee processing time. Please note the type of sub-contract can impact the overall processing time i.e. CT's. RAS Unclassified consists of all awards not included in a RAS Unit.

OPS Average Number of Days ICG Contract Processing Annual FY22 (Sep-Aug)



* Includes only Industry (ICG)

ICG SUMMARY

Proposal Submissions

- March(20) highest receipt to first draft sent to sponsor. April had the lowest receipt to first draft sent to sponsor at 4.
- On average for FY 2022, receipt to first draft sent to sponsor ranged from 4 to 20, first draft to negotiation complete ranged from 20 to 50, and negotiation complete to signed sent ranged from 13 to 61.
- Feb accounted for 12.4% of Volume.

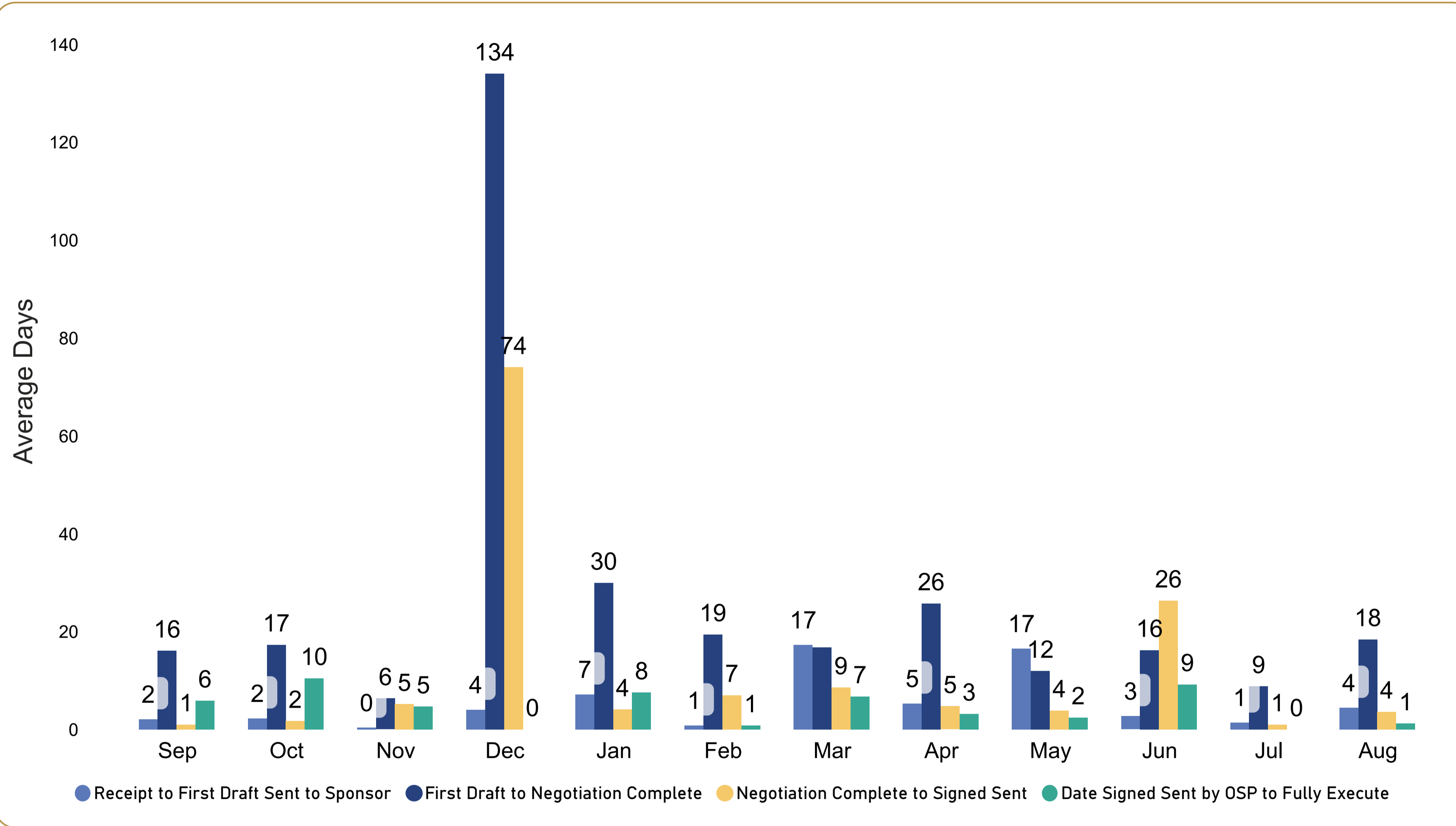
Processing time includes waiting time for compliance areas i.e. COI, IRB and IACUC.

Note: ECTS processing time.

Numbers are rounded

• Days with OSP Analyst • Days with Sponsor • Days with OSP Analyst (if use of Emory template) or Sponsor (always the case when use of Sponsor template) • Date Signed Sent by OSP to Fully Execute; OSP awaiting Sponsor's full execution of Agreement (always the case when use of Sponsor template).

* Includes only Non-Industry



Non-Industry SUMMARY

Proposal Submissions

- March had the highest receipt to first draft sent to sponsor at 17 in July had the lowest receipt to first draft to sponsor at 33.
- September accounted for 34% of Volume.
- On average for FY 2022, receipt to first draft sent to sponsor ranged from .33 to 17.25, first draft to negotiation complete ranged from 6.33 to 134, and negotiation complete to signed sent ranged from 1 to 74.

Note: For the month December and July no agreements fully executed.

• Days with OSP Analyst • Days with Sponsor • Days with OSP Analyst (if use of Emory template) or Sponsor (always the case when use of Sponsor template) • Date Signed Sent by OSP to Fully Execute; OSP awaiting Sponsor's full execution of Agreement (always the case when use of Sponsor template).

Processing time includes waiting time for compliance areas i.e. COI, IRB and IACUC. Note: ECTS processing time.

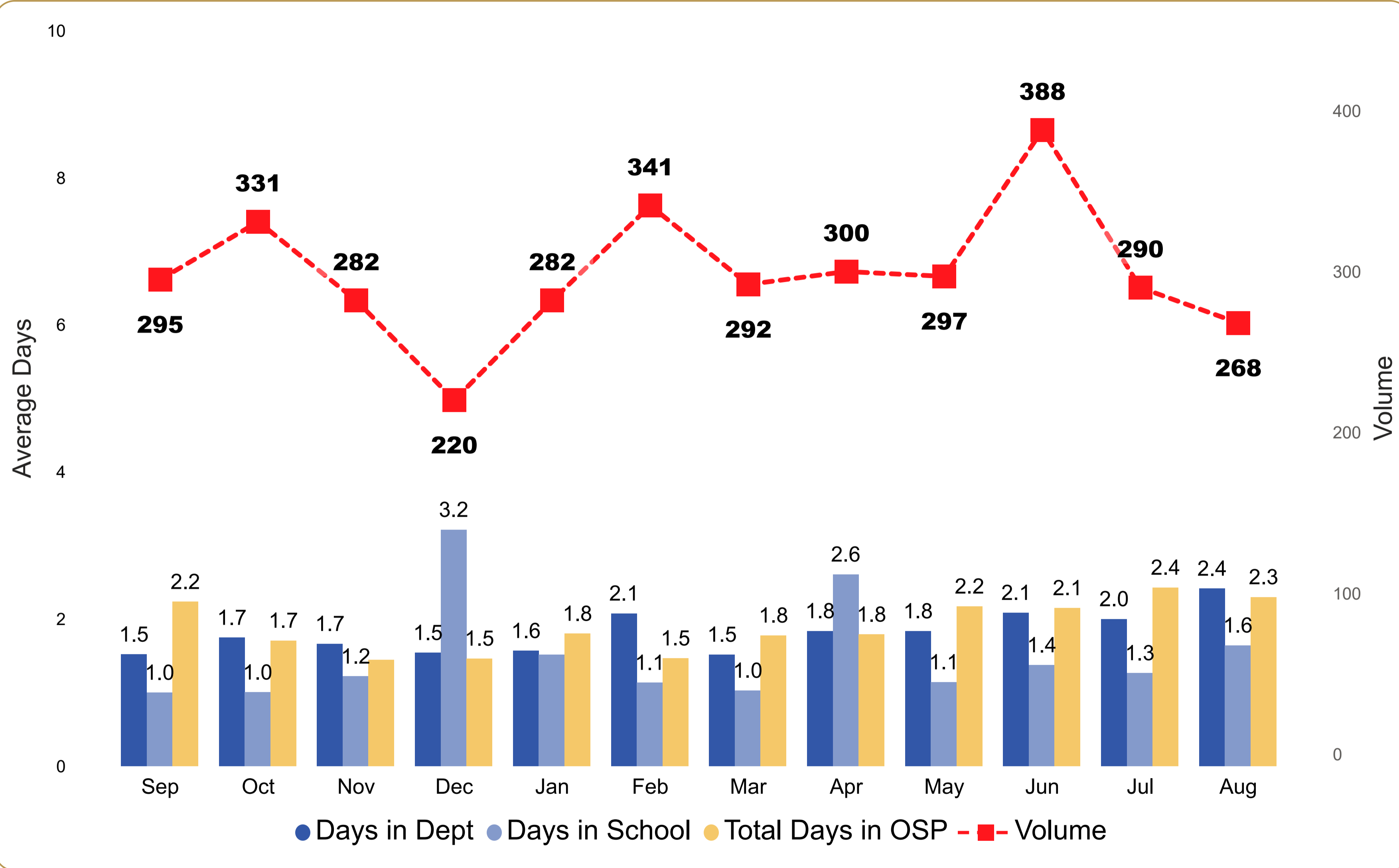
* **Planned OTT-Contracts Quality Improvement Enhancement. Transition of ICG from OTT to OSP has been completed.**

Semi-Annual FY22 (Sep-Aug):

Dept, School and OSP Average Days Proposal Processing Time

PI, Dept and School Average Days Proposal Processing Time





* Includes only Grants

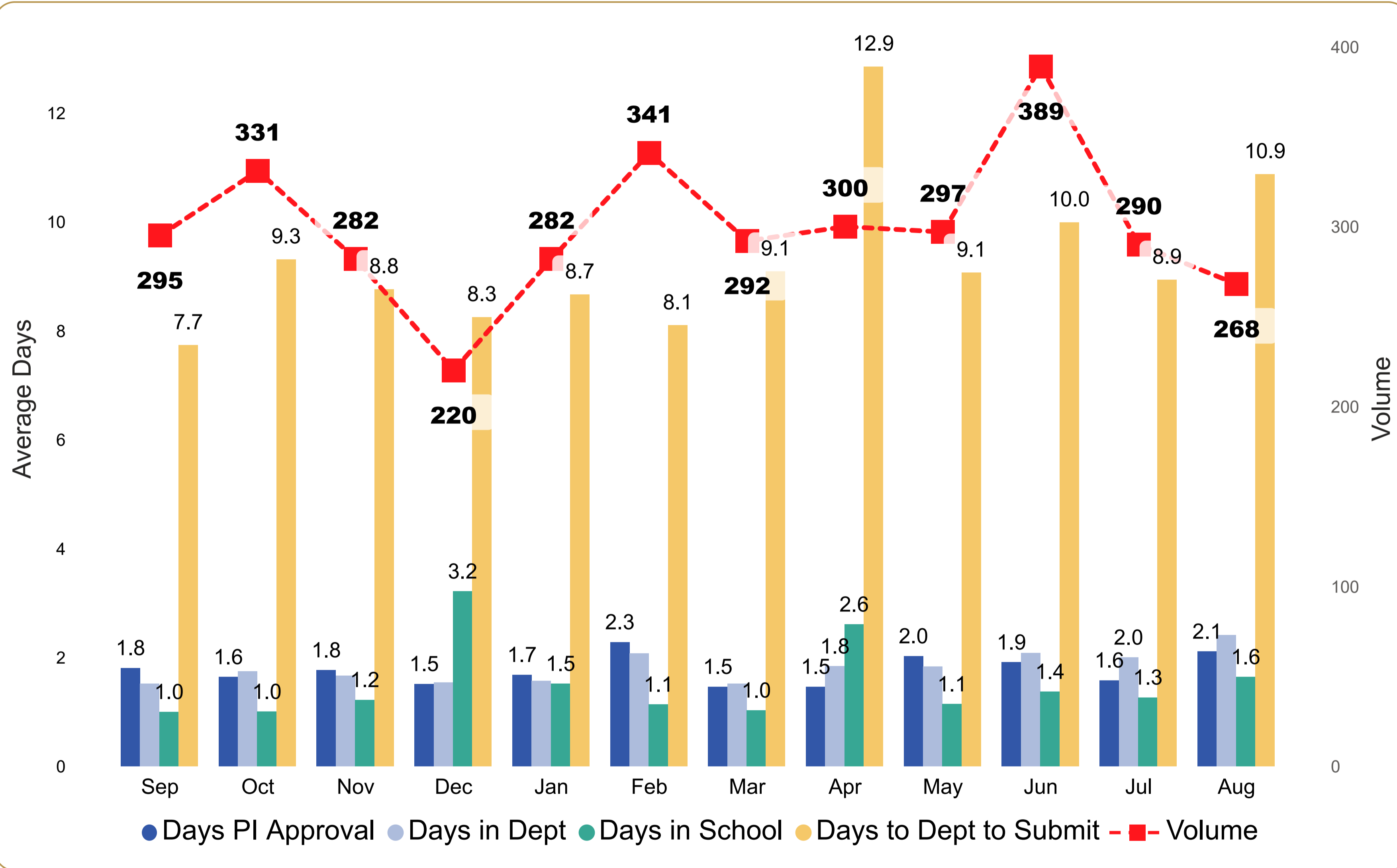
GRANTS SUMMARY

Department, School and OSP

- August had the highest average Days in Dept. at 2.4. March had the lowest process days in dept. at 1.52.
- June accounted for 11% of the Volume processed.
- On average for FY22, days in Dept. ranged from 1.5 to 2.4, days in school ranged from 1.0 to 3.2, and total days in OSP ranged from 1.45 to 2.43.

Processing time includes waiting time for compliance areas (i.e. COI, IRB and IACUC) as well, as time for final proposal submission by PI. Cycle days: date first received and date last modified based on routing stage. OSP time cycle is the total processing time Analyst/Manager. *Note excludes OSP Industry and Non-Industry Contracts Group processing time. These are EPEX workflow processing time.

* Includes only Grants



PROPOSAL SUMMARY

PI, DEPARTMENT AND SCHOOL/BUSINESS UNIT

- At 2.3, February had the highest days PI approval. March had the lowest day PI approval at 1.46.
- Days PI Approval and total days in dept. are positively correlated with each other.
- June accounted for 10.82% of Volume.
- On average for FY 2022, days PI approval ranged from 1.5 to 2.3, days in dept. ranged from 1.5 to 2.4, days in school ranged from 1.0 to 3.2 and dept to submit ranged from 7.7 to 12.9.

Processing time includes waiting time for compliance areas (i.e. COI, IRB and IACUC) as well, as time for final proposal submission by PI. Cycle days: date first received and date last modified based on routing stage. OSP time cycle is the total processing time Analyst/Manager. **Note excludes OSP Industry and Non-Industry Contracts Group processing time. These are GRANTS EPEX workflow processing time.

Semi-Annual FY22 (Sep-Aug):

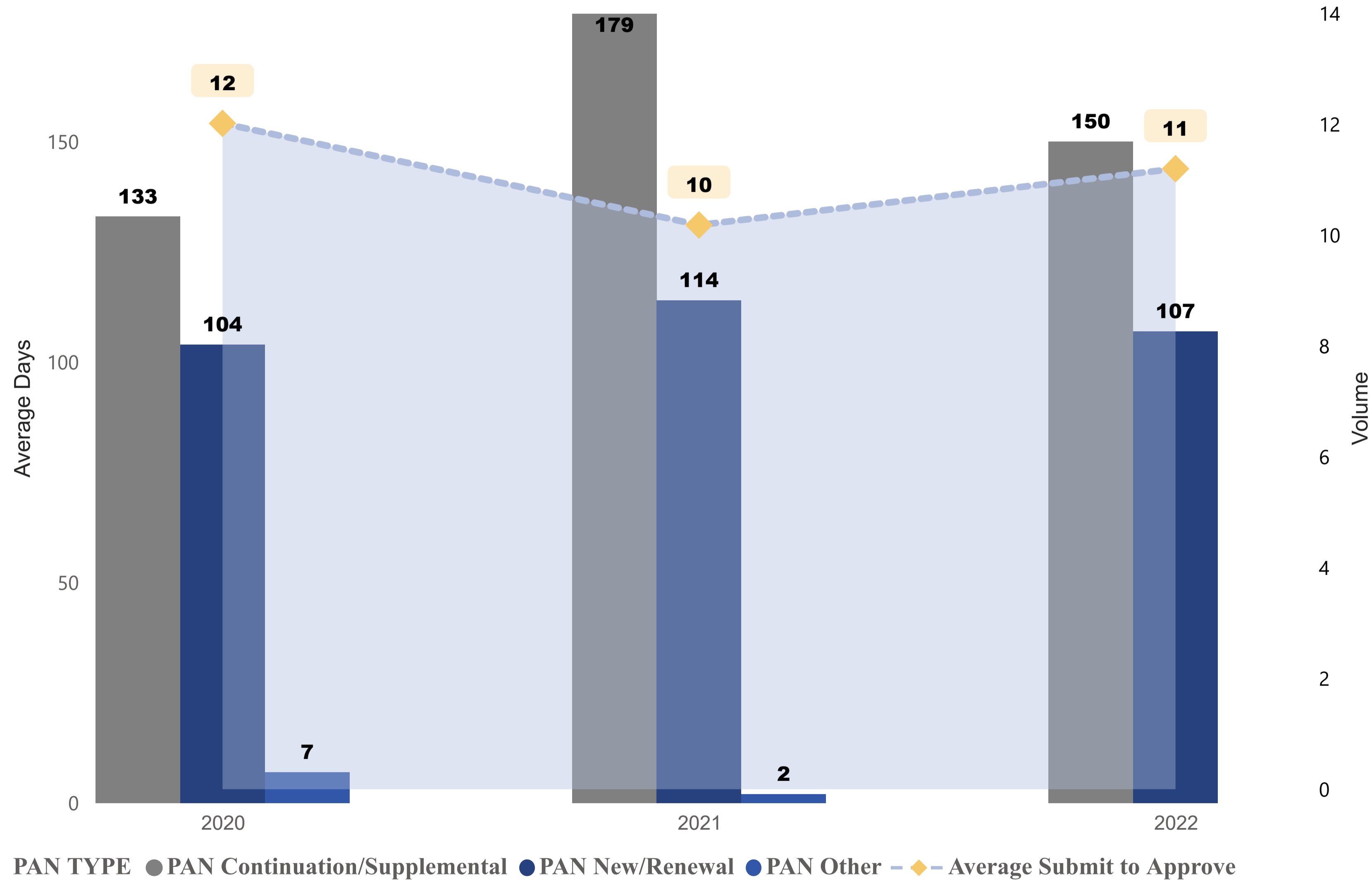
**Post Award Requests Processing by
Type: No Cost Extension Requests
Budget and Carryover Requests Other
Requests and PI Changes**

**Post Award Requests Processing by
Type: PAN Requests**

**Post Award Requests Processing by
Type: PAN Requests FY2020 -FY2022**



Grant Form Requests: PAN Post Award Requests



FY 2020	FY 2021	FY 2022
* Volume 244	* Volume 295	* Volume 257

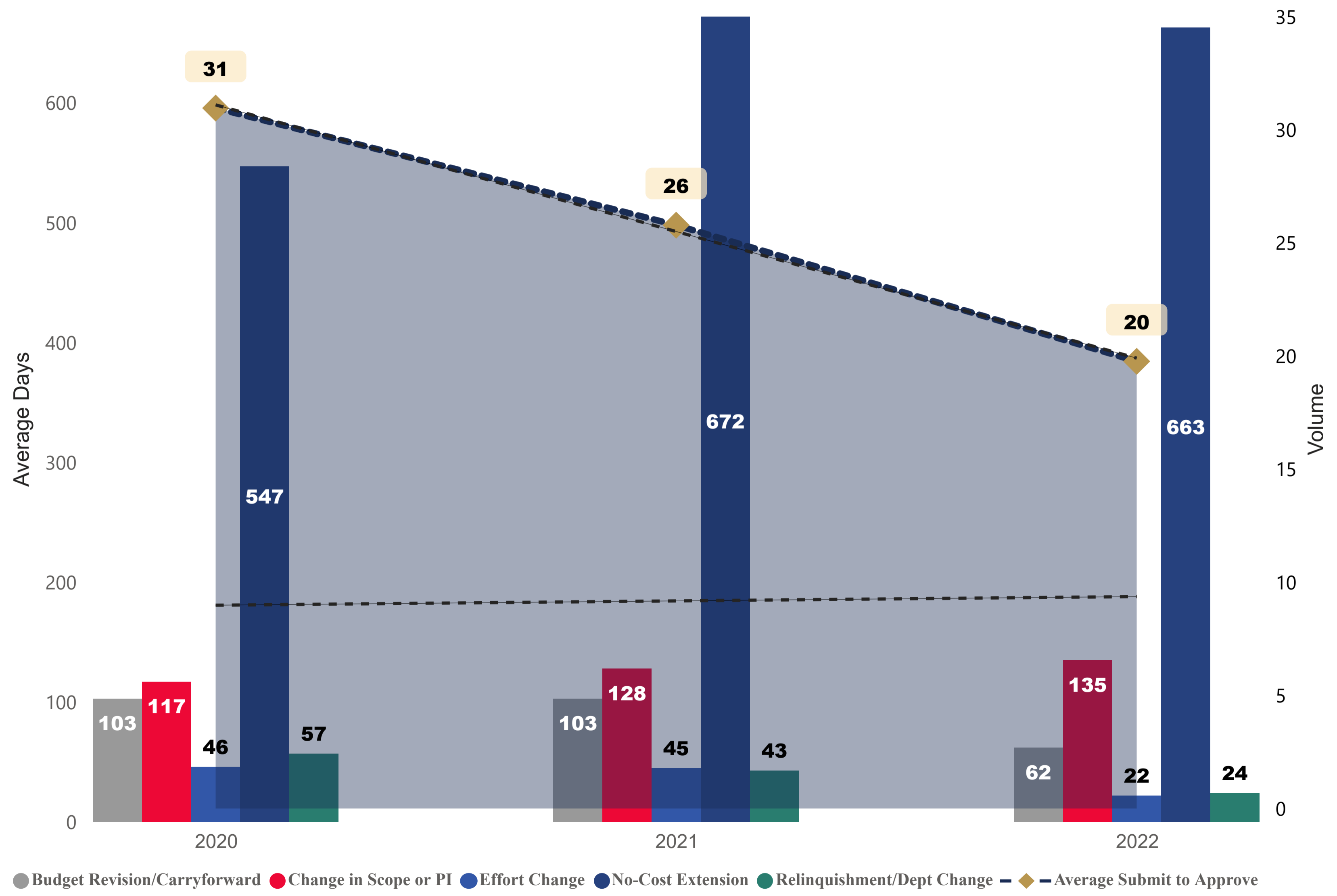
POST AWARD ACTIVITY SUMMARY

PAN Request

- The total number of PAN Grant requests decreased by 35 compared to FY 2021 (295) and FY 2022 (257). The number of requests increased by 51 compared to FY 2021 (295) compared to FY 2020 (244).
- PAN continuation/supplemental request had the highest volume over the 3 years. Volume ranges from 133 to 179. In FY 2022 there was a slight decrease (29) for the request type compared to FY 2021.
- Average submit to approve days over 3 years ranges from 10 to 12. Overall process time improved in FY 2022, days to approve 11.

* Grant Form Request processing: Post Award Modification requests: Includes OSP, RAS and RGC process time.

Grant Form Requests: Post Award Requests



FY 2020	FY 2021	FY 2022
* Volume 870	* Volume 991	* Volume 906

POST AWARD ACTIVITY SUMMARY

PAN Request

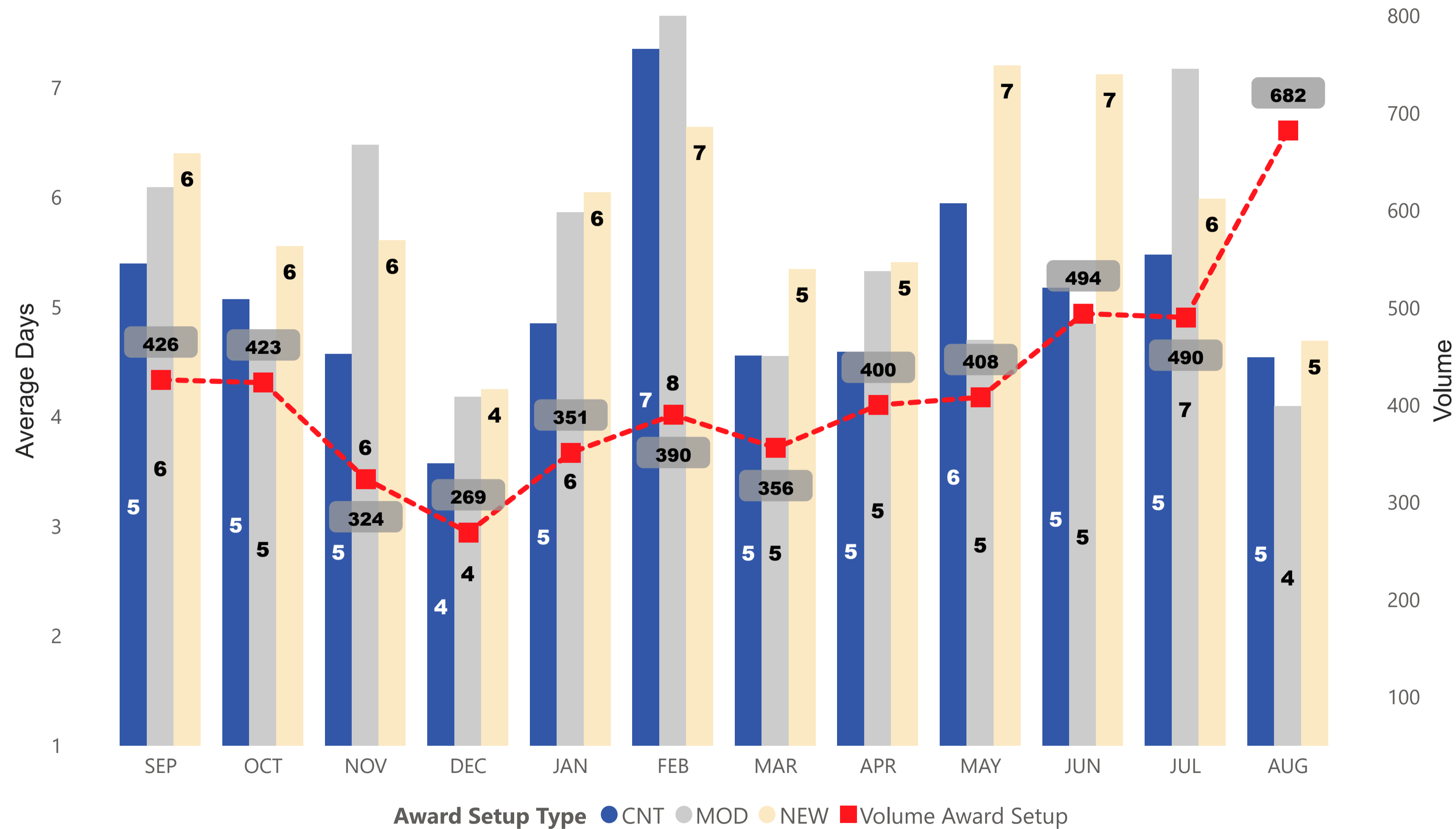
- The total number of Grant requests decreased by 85 compared to FY 2021 (991) and FY 2022 (906). The number of requests increased by 121 compared to FY 2021 (991) compared to FY 2020 (870).
- No-Costs Extensions had the highest volume over the 3 years. Volume ranges from 547 to 673. In FY 2022 there was a slight decrease (9) for the request type compared to FY 2021.
- Average submit to approve days over 3 years ranges from 31 to 20. Overall process time improved in FY 2022, days to approve 20.

* Grant Form Request processing: Post Award Modification requests: Includes OSP, RAS and RGC process time.

**Semi-Annual FY22 (Sep-Aug):
Award Setup Processing Time**



Award Setup: Processing Days by Award Type: FY 2022



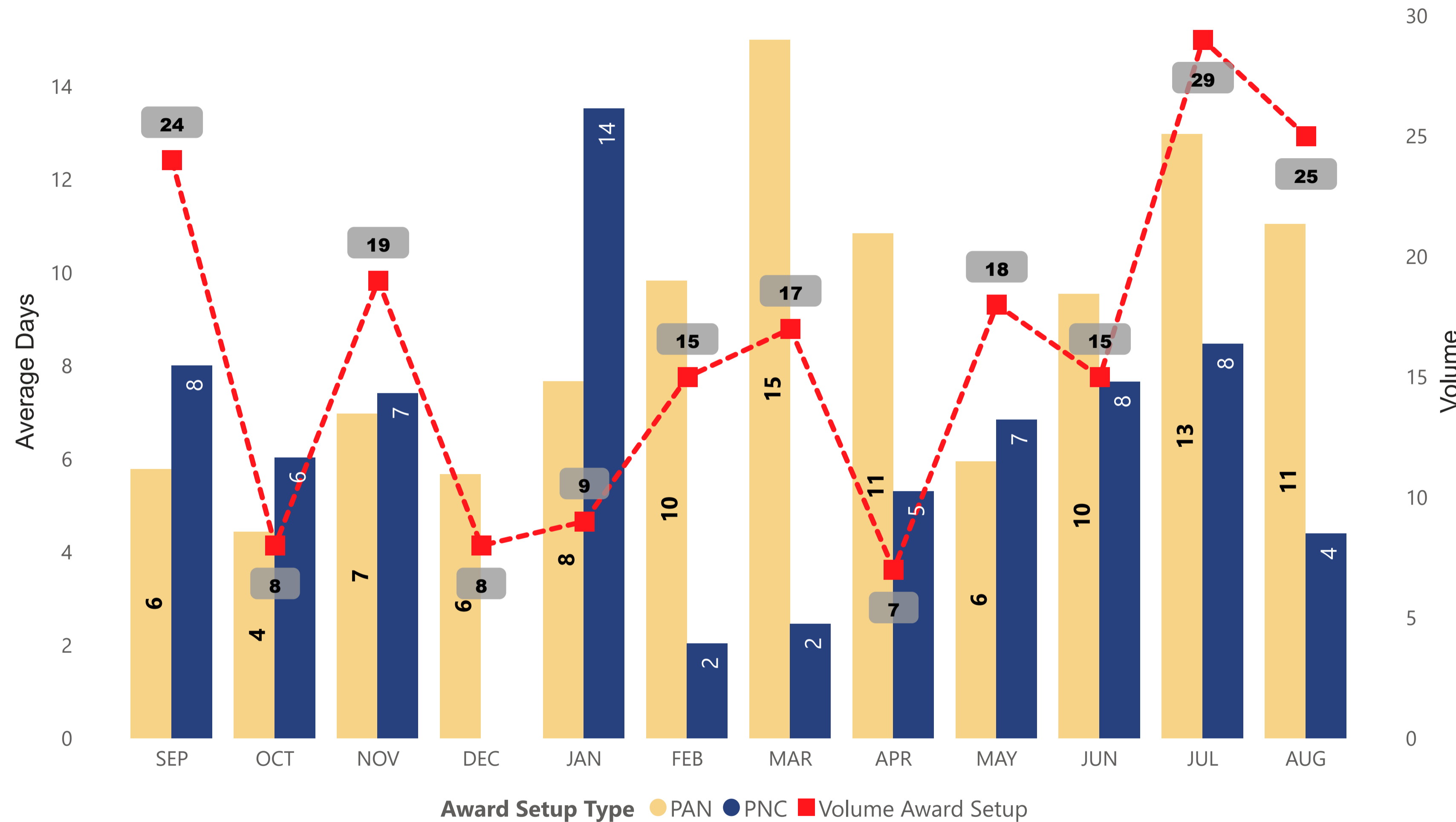
AWARD SETUP SUMMARY

- The total volume is 5,013. MOD had the highest total volume award setup at 1,896, followed by CNT at 1,719, and NEW at 1,398.
- February in Award Setup Types made up 3.89% of Average of RGC Award Setup Processing Time.
- Award setup type NEW had the highest Average of RGC Award Setup Processing Time at 5.85, followed by MOD at 5.47 and CNT

Numbers on bar graph are rounded.

* Award Setup: Includes RGC-PAN processing time . CNT-Continuation , MOD-Modification , NEW-New Awards

Award Setup: Processing Days by PAN Award Setup Type: FY 2022



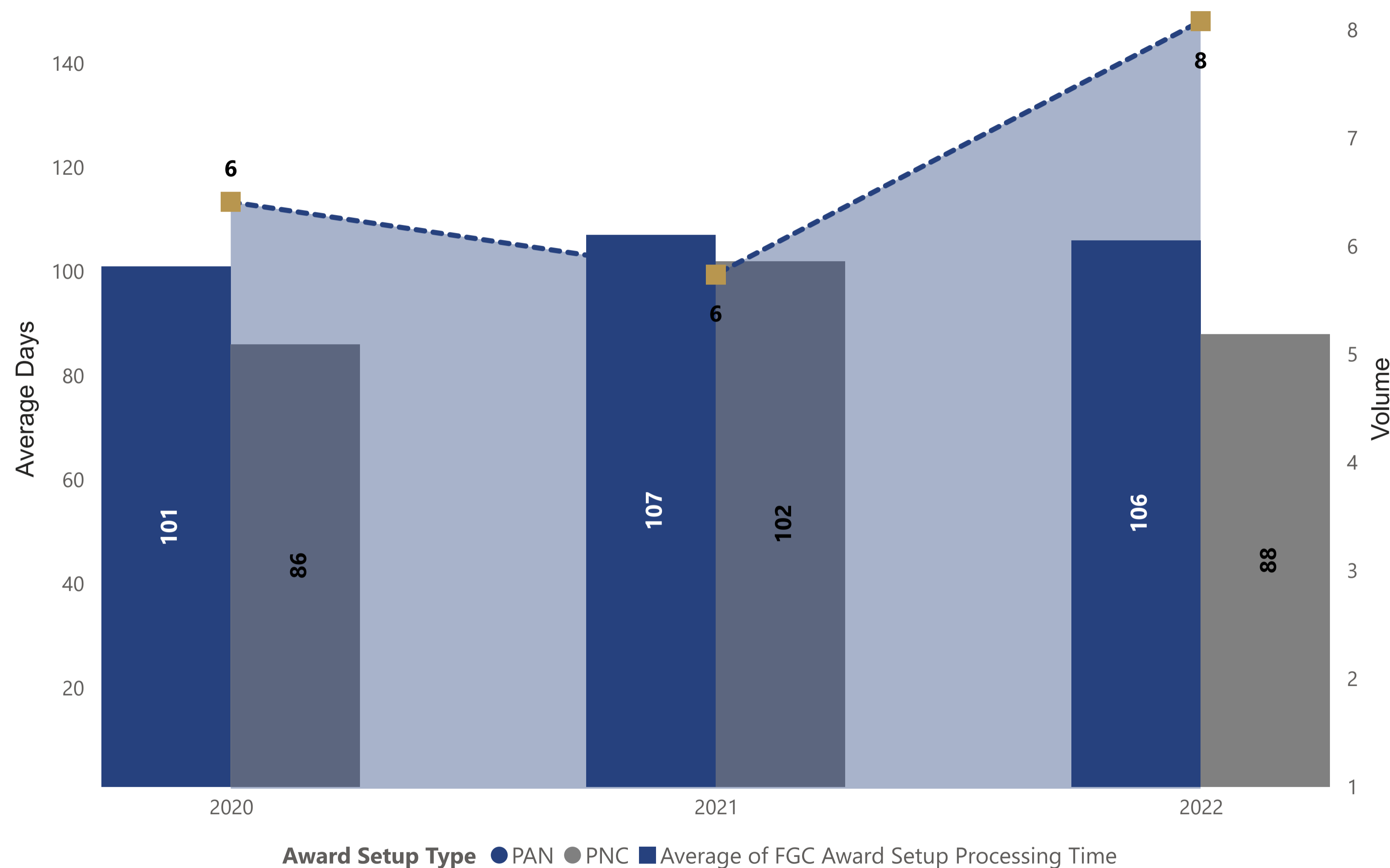
AWARD SETUP SUMMARY

- The total volume is 194 for PAN and PNC award setup types. PAN had the highest at 106 and PNC at 88.
- March in Award Setup Type made up 8.43% of the average processing time.
- On average award setup processing time was higher for PAN (8.81) than PNC (6.56).

Numbers on bar graph are rounded.

* Award Setup: Includes RGC-PAS processing time . Award Setup PAN and PNC

Award Setup: Processing Days by PAN Award Setup Type: FY 2020 - FY2022



FY 2020	FY 2021	FY 2022
* Volume 187	* Volume 209	* Volume 194

POST AWARD ACTIVITY SUMMARY

PAN Request

- The total number of PAN award setup requests decreased by 15 compared to FY 2021 (209) and FY 2022 (194). The number of requests decreased by 22 compared to FY 2021 (209) compared to FY 2020 (187).
- PAN award setup request type had the highest volume over the 3 years. Volume ranged from 101 to 107. In FY 2022 there was a slight decrease (18) for PNC request type (88) compared to FY 2021(102).
- Average submit to approve days over 3 years ranged from 6 to 8 days. Processing time for PAN's increased by 2 days in FY 2022.

* Award Setup: Includes RGC-PAN processing time . PAN Pre Award , Pan Continuation PNC

THANK YOU

If you have any questions or concerns, please contact:

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